

## ESHAN COLLEGE OF ENGINEERING, MATHURA

Approved by All India Council for Technical Education, New Delhi (AICTE) Affiliated to Dr. A.P.J. Abdul Kalam Technical University, Uttar Pradesh

# **Institute Policies**

## 1. Admission Policy

Eshan College of Engineering offers career oriented courses at UG and PG in different engineering disciplines. The Institute is affiliated with Dr. A.P.J. Abdul Kalam Technical University, Uttar Pradesh.

- Admissions to B. Tech courses are done through Affiliating University Admission Process, on the basis of JEE (Main). The eligibility criterion for admission to B.
   Tech course is that the candidate must have passed class 10+2 or equivalent examinations with at least 45% aggregate marks in each subject (Physics, Math and Chemistry/ Biology) from any recognized board.
- Students are also admitted through LEEP (Lateral Entry in Engineering Program).
- Admission to M.Tech courses are done by Dr. A.P.J. Abdul Kalam Technical University, Uttar Pradesh on the basis of B.Tech and or valid GATE score.

#### Special Scholarship for Meritorious and Economically Weaker Students

- 1. Students taking admission through / after applying in JEE shall be given Rs. 3000/one time scholarship at the time of admission.
- 2. Students securing more than 80% marks in the qualifying exam shall be given Rs. 3000/- as scholarship (one time) at the time of admission.
- 3. Students taking admission after applying in Talent Search Competition shall be given as per the following scheme.
  - a. **1**<sup>st</sup> **Rank** (**1 Nos**): Laptop or 100% scholarship (on tuition fee) on Admission in B.Tech. in Eshan College of Engineering (Applicable for one year only)
  - b. **2<sup>nd</sup> Rank** (**2 Nos**): Smartphone or 80% scholarship (on tuition fee) on Admission in B.Tech. in Eshan College of Engineering (Applicable for one year only)
  - c. **3<sup>rd</sup> Rank (30 Nos):** Sky Travelling Bag or 20% scholarship (on tuition fee) on Admission in B.Tech. in Eshan College of Engineering (Applicable for one year only)
- 4. Son / Daughter of any employee taking admission will be given 50% concession of tuition fee for every semester.
- 5. Students with economically weaker background shall be given scholarship appropriately as decided by the management after checking his/her eligibility.

## 2. Inspiring Academic Policy

The main objective of academic policy pursued at ESHAN is to inspire students to explore innovative ideas and develop a habit for lifelong learning so as to make them successful professionals. Our curriculum has been prepared by Dr. A.P.J. Abdul Kalam Technical University, Uttar Pradesh to which our institute is affiliated. It has been framed on the basis of model curriculum prepared by AICTE. The curriculum has been designed to focus on higher order learning and professional skills required for the students to become innovators and successful technocrats. The curriculum encompasses the following distinctive components:

- Compulsory Core Courses (CCCs).
- Flexible Choice Based Courses (FCBCs).

- Open Elective Courses (OECs)
- Laboratory and Project Based Courses (LPBCs)
- Internship and Industrial Tour Based Training (IITBT)

#### **Efficient Teaching Learning**

At ESHAN it is ensured that the students are provided with quality teaching by well qualified, experienced and knowledgeable faculty members who are equipped with an effective evidence based teaching approach that upholds the high teaching standards through strategic professional development at all levels.

- Subject wise course files are prepared which contains course scheme and syllabus, course plan & coverage, list of books required, CO-PO-PSO Mapping, Tutorial sheets, mid-term & university question papers, assignments, subject notes and handouts etc.
- As per the need prerequisites courses are discussed
- To fill the gap between curriculum and industry need expert lectures of industry and academic experts are arranged on a regular basis to share their knowledge with the faculty and students.

#### **Inclusive Teaching-Learning**

At ESHAN focus is on adoption of inclusive teaching approaches that deal with individual needs of the students as they come from diverse backgrounds and having different abilities. The fresher's joining the institute are provided three week induction programme in which students are able to engage themselves actively, feel safe and welcome.

#### **Experimental Learning**

- The institute has a large number of well-equipped specialized laboratories in all the academic departments where students are required to perform experiments and analyses the observed data in order to strengthen their theoretical concepts learnt during lecture classes.
- A lot of emphasis is given on project based experimental learning at the final year level. It not only enhances the practical knowledge of the students to meet the industry requirement but also help them to gain life skills.
- The students are encouraged to exhibit their projects in exhibitions held in house and also at National level competitions.

#### **Project Allotment Policy**

Guidelines for Project Identification:

Two main criteria that must drive a project proposal are:

- Feasibility of the project (time, supervision, cost implication, availability of equipment and literature)
- It should satisfy course outcomes, POS and PSOs of this course.

All the projects for B. Tech. should be formulated in such a way that after completion of the project, course outcomes (mentioned below) are realized. Usually, purely software based projects (doing design and simulation on computers only) will get low priority but in Covid-19 situation software projects are also acceptable.

Guidelines for Project Allotment:

- To administer and allocate project to students, Project Coordinators are appointed by Head of the Department.
- All the faculty members and students are requested to submit to HOD two different projects according to their areas of interest.
- Submitted project proposals are checked and approved by the HOD and Project Coordinators.
- The number of projects allocated to faculty of the Department shall be limited to a maximum of two.
- The students are given choice to list 3 projects in order of priority and to submit their choices to the Project Coordinator in one-two weeks" time.
- Based on students" choices, the projects are allocated by the Project Coordinators.

#### Guidelines for Approved Project Proposal:

The approved Project proposal comprises of following points:

- Project title:
- What will be the end product?
- Target specifications of the end product
- Objective of the project
- What will the student learn from the project?
  - o Specific Knowledge
  - o Software
  - Hardware
  - o Hardware Interfacing
  - o Design
  - Simulation
  - Fabrication
  - o Assembly
  - Testing
- Team members
- Resources required
- Brief description of the project:
  - Motivation for taking up this project: –
  - The new system (proposed in this project): –
- Methodology: (Project Implementation Details)
- References
- Activity bar chart.

#### **Guidelines for Organizing Industrial Tours for Students of Engineering**

#### Objective

The affiliating university has made the industrial tour a part of curriculum of B. Tech. VI Semester. The purpose of industrial tour is both educational and to make the students familiar with the industrial practices by arranging their visits to large and medium size industries. It also helps them to develop in them organizational and leadership qualities.

Guidelines

Each Engineering Department will organise well in advance industrial tour for their B. Tech. students once a year. Planning should be initiated five months in advance

- The tour should be organised preferably in February/March and the duration should not exceed 3-4 days.
- The tour should be restricted up to the neighboring states so that much time is not lost in travel.
- The dates of tour should be so selected that they do not clash with other activities like examinations, placements etc.
- For every division two faculty members and for two divisions four faculty members should accompany the students.
- Female faculty members should also accompany the tour where female students are there. As far as possible avoid sending a single female faculty on tour.
- The names of faculty should be decided by the respective HODs in consultation with the faculty concerned about 15 days in advance. One person should be nominated as the tour incharge.
- The tour in charge will finalize the tour programme in consultation with the HOD concerned and then take the initial approval from the Director for further actions.
- During the seven days it is expected that each student should visit five to seven well establishment large industries apart from educational visits. The industry visits must be as far as possible uniformly distributed over the entire tour duration.
- Having obtained the initial approval from the Director the tour incharge should plan the tour programme and industrial visits in consultation with other faculty members. He may also get in touch with the established and proven tour operators for making tour arrangements. In such a situation proper negotiations should be done regarding tour details (no. of days, places to be visited) transportation facilities offered, stay arrangements, food to be served during tour, educational visits, insurance cover and total charges (inclusive of taxes etc., if any) per student. It must be made clear to the tour operator that the Institute will not bear any hidden charges or charges towards the travel, boarding and lodging of accompanying faculty members. All the negotiations with the tour operator must be done in consultation with the HOD concerned. Having done negotiations every details including terms and conditions should be obtained in writing from the tour operator. Based on this document the tour incharge should obtain the final approval from the Director.
- The tour incharge will contact several industries enroute the tour and obtain permission for the visits. Normally industries do not grant permission to large batches of students. Hence divide the students in three or four batches as required and ask them to visit different industries in parallel at the same place.
- The faculty members accompanying the students on tour should collect the requisite amount from the students in advance.
- Before setting out for tour, the incharge should personally check that all the required documents (drivers" licenses, bus fitness, route permit etc.), student ID cards, first aid box etc. are in order.

- The tour incharge should carry with him some basic medicines and the first aid box. The contents may be finalised in consultation with the Institute's doctor.
- The faculty members accompanying the tour party should ensure that the students maintain discipline throughout the tour. Special care must be taken while visiting industries so that good lasting impression about the Institute is left on the minds of industry people.
- During the tour nobody should indulge in any unlawful activity. Strict action will be taken against those who indulge in consumption of intoxicants.
- The tour incharge will address all the students before the commencement of the tour and explain them about all the DOs and DONTs.
- During the tour the tour incharge at the end of each day should inform his/her HOD on phone about the day's progress.
- After the tour is concluded each student should be asked to submit a ten page report about the visits. A questionnaire may also be given to the students by the tour incharge for getting feedback.
- The faculty who accompanied the students should evaluate the reports and submit marks to the examination cell within ten days of concluding the tour.
- The tour incharge should maintain proper records of the expenditure and submit the
  accounts along with the feedback report to the Director within ten days of concluding
  the tour.
- The tour incharge will also submit a list of the students who did not attend the tour along with the reason and permission granted by the respective HOD. All such students will be required to undergo additional summer training of 10 days along with the regular summer training. This will be evaluated by the tour in-charge and the marks out of 20 should be submitted to the examination cell.

#### **Scientific and Transparent Evaluation System**

- To save students from commonly prevalent examination and evaluation problems the institute offers a mixed blend of external and continual internal unbiased and transparent evaluation system.
- The system consists of continual evaluation through properly structured internal evaluation system based on assignments, case studies, quiz, projects, surprise class tests, midterm tests, viva-voce, presentation etc.
- The solution of the internal question paper along with marking scheme is uploaded on the institute web portal for two week soon after the internal examinations are over.
- The evaluation of the answer sheets is to be done within a one week of the examinations.
- The internal answer sheets are shown to the students and marks earned by the students are displayed on the notice boards after resolving grievances of the students, if any.
- The faculty members are submitted the result analysis report in the prescribed format and also analyses attainment level.

• On the basis of performance in the midterm examinations, students are identified as slow learners and advanced learners.

#### **Incentive to Advance Learners**

Students with fast learning capacity can do the degree with additional courses from MOOCs and complete their degree with Honors. In order to guide the students the institute appoints departmental coordinators and course mentors. The role of coordinators is to identify the credit courses to be pursued by the students the course mentors motivate and clarify the difficulties encountered by them.

#### **Support to Slow Learners**

On the basis of performance of the students in the class slow learners are identified by the subject teacher. These students are given assignments by the subject teacher in order to help them to improve in their class performance, Also remedial classes are arranged for them so that they complete their degree within the period specified by the University.

### 3. Research Policy

To promote quality education the institute puts greater focus on research programs. All the departments of the institute have good research facilities.

- The institute has excellent library facilities. It supports the research initiatives of the faculty and research scholars with the following resources.
  - o Printed reference and other latest books
  - o Subscribes e-journals and printed journals
  - Assistance to faculty in buying books related to projects
  - Make available specific research papers to research scholars through inter library loan through DELNET/other local institutes.
  - Display of research papers published by the faculty in the international journals of repute
- Excellent Wi-Fi facility
- To strengthen research programmes further the faculty is encouraged to participate and publish their research work in National and International journals of repute. For this purpose the institute provides financial support to the faculty members.
- Faculty members who publish research papers in SCI Journals with high H-index/Impact factor are felicitated and given cash award on Independence Day and those faculty members who publish their research papers in UGC Research Journal are felicitated on Republic Day.

## 4. Policy Regarding Higher Education

The institute is promulgating the following policy regarding the higher education for faculty to pursue part-time M. Tech. / Ph. D. programmes.

#### **Purpose:**

- Purpose of the policy is to enhance faculty talents.
- Provide opportunity for individual growth.
- Strengthen stability of faculty at ESHAN.

#### **Eligibility:**

- Any faculty member who has completed at least one year of employment with good performance is eligible for this program.
- The employee may apply for permission to pursue higher studies in local institutes.
- The permission has to be sought in writing giving the details of the program, degree sought, branch, institute of study, duration and any other pertinent information.

#### **Permission:**

The institute will permit the faculty members subject to the following constraints:

- Only two members for M. Tech. and two members for Ph. D. will be allowed from one of department at a time. This is to mean that if the study course is two years duration and two members of a department have already been allowed then no other member will be allowed to enroll until they have completed their course work.
- Permission will be granted on the basis of undertaking from the employee stipulating that.
  - The employee will serve at least one year after he completes his/her intended program at the institute of study.
  - The employee undertakes to allow ESHAN to withhold ten percent of the salary during the study period.
  - o The salary amount so withheld will be released to the employee after he completes one year of service at ESHAN after he gets the intended degree.
  - The salary amount so withheld is subject to forfeiture if the employee violates the terms of this undertaking given by him/her voluntarily.

#### **Implementation:**

- After permission has been granted the institute will adjust its academic calendar to allow the employee time for completing his course work.
- If more than permissible number of faculty members are applying for this scheme then the management will select the candidates to grant permission based on their employment duration their relative performances and contributions to ESHAN.
- One increment in the pay scale will be allowed after the completion of M. Tech. degree program and two increments after the completion of Ph. D. degree programme.

#### **Benefits:**

• The management will allow additional increment in the pay scale of the employee after the award of the intended degree.

#### **Definitions:**

For the purpose of this document the following terms are to be taken to mean

- Course work: Attending classes and to carry out other academic activities for which the physical presence of the candidate is mandatory for his program of study on the campus of the institute where program is being pursued.
- This includes defense of the thesis/dissertation being submitted but does not include preparation or writing of thesis/dissertation as the same can be done from anywhere else.

## 5. Policy for Sponsoring Higher Education

A faculty member pursuing higher education may be sponsored subject to the following conditions:

- A candidate should have a minimum of three-years of teaching experience.
- If Institute is sending the candidate on deputation and paying full salary then candidate will have to write an undertaking to provide his/her service to the institute at least for three year.
- After completing higher education, candidate may be given promotion and other benefits.
- If candidate violating the undertaking then he/she has to refund the amount paid by the institute along with 10% extra of the amount paid by the institute.
- If institute is not paying salary for that period and manipulating the account then Income Tax and P.F. amount should be deposited by the institute and after completion candidate will refund total deposited amount to the institute.
- If institute is not paying any salary and not manipulating the account then candidate should give an undertaking to the institute that after completing higher education, he/she will not work with any other private engineering college other than ESHAN but he/she is free to work with other organizations or further education.

## 6. Policy for Promotion of Faculty/Staff Member

#### **Career Advancement Scheme**

Applications will be invited every year from the eligible in-house candidates. They will be required to fill the prescribed application form and submit the same to the Principal along with the supporting documents. After a thorough scrutiny these applications will be placed before the Selection Committee. The composition of the Selection Committee will be as follows:

- 1. Chairman
- 2. Director
- 3. HOD, if of the rank of Professor
- 4. At least one subject expert

The candidates may be promoted to the next higher position if found suitable by the Selection Committee. The pay scales for various teaching posts in Eshan College of Engineering, Mathura will be as follows:

- Assistant Professor (Category 1): (15600-39100) + AGP 6000+DA+PF
- Assistant Professor (Category 2): (15600-39100) + AGP 7000+DA+PF
- Associate Professor (Category 1): (15600-39100) + AGP 8000+DA+PF
- Associate Professor (Category 2): (37400-67000) + AGP 9000+DA+PF
- Professor: (37400-67000) + AGP 10000+DA+PF

## 1. The promotion under career advancement scheme for the faculty will be as under: 1. Assistant Professor (Category 1) To Assistant Professor (Category 2)

• The minimum length of service for eligibility to move into the grade of Assistant Professor (Category 2) would be three years for those with Ph.D. (Science/Humanities), and four years for those with M.Tech/M.E. at the level of

Assistant Professor (Category 1). Out of this service at least two years should be at ESHAN. Previous teaching experience would be considered provided it is of at least degree level. Those having Ph.D. degree in Engineering from a reputed institute may be considered for promotion to the post of Assistant Professor (Category 2) without previous teaching experience.

- Significant contribution to teaching/academic environment.
- Contribution in organising extra curricular activities, maintaining discipline, helping in admissions, examinations and other administrative works.
- It is desirable to have attended in-house faculty development programme, published research papers in UGC Research Journal, Guided student projects, and Industrial projects.
- It is desirable to have contributed in the development of laboratories by upgrading experiments/laboratory manual etc.
- It is desirable to have developed course material and prepare course file.

#### 2. Assistant Professor (Category 2) To Associate Professor (Category 1):

An Assistant Professor (Category 2) will be eligible for promotion to the post of Associate Professor (Category 1) if he/she has

- completed 4 years of service as Assistant Professor (Category 2)
- Obtained Ph.D. degree from a reputed institute.
- Significant contribution to teaching/academic environment.
- Must have attended faculty development programmes in-house and at other reputed institutes.
- Published research papers in UGC Research Journal and or other International/National Journals of repute.
- Must have taught at M.Tech. level, guided M.Tech. dissertations, guided student projects, undertaken industrial projects etc.
- Contributed in organising extra-curricular activities, helped in accreditation work, publication of Research Journal, admissions, examinations, campus placement and other administrative works.

#### 3. Associate Professor (Category 1) To Associate Professor (Category 2):

- Must have obtained a Ph.D. degree from a reputed institute and possess a minimum of two years of post Ph.D. teaching experience as Associate Professor (Category 1)
- Significant contribution to teaching/academic environment.
- Published research papers in UGC Research Journal and or other International/National Journals of repute, published books, monograms etc.
- Must have taught at M.Tech. level, guided M.Tech. dissertations, guided student projects, undertaken industrial projects etc.
- Contributed in organising seminar/conference/workshop etc, helped in accreditation work, delivered invited talks at other institutes.
- Significant contribution in motivating and providing career counseling to students for their holistic development.
- It is desirable to have undertaken consultancy work.

#### 4. Associate Professor (Category 2) to Professor

- Completed 3 years of service as Associate Professor (Category 2)
- Possesses consistently good performance appraisal repots
- Significant contribution in research by way of publications in reputed International/National Journals, published books, monograms etc.
- Significant contribution to teaching/academic environment.
- Supervised innovative projects, undertaken industrial projects, undertaken consultancy work etc.
- Contribution in organising seminar/conference/workshop etc, helped in accreditation work, delivered invited talks, chaired sessions, organised FDP.
- Must have taught at M.Tech. level, guided M.Tech. dissertations.
- Desirable to have Ph.D. guiding experience.

Minimum Qualification and Pay Scales for Laboratory Staff/Workshop Staff

#### 1) JUNIOR Laboratory Assistant /assistant Technician.

These positions are for semi-skilled persons who may be promoted from peons or helpers as a stop-gap-arrangement.

- Minimum Qualifications:-10th pass with some experience.
- Grade (4440-7440)+AGP Rs 1300/-+ DA\*+PF\*\*
- Increment Rs. 290/-

#### 2) Laboratory Assistant/Technician.

- Minimum Qualifications:-ITI in the concerned trade for workshop and B.Sc. in the subject of concerned science laboratories.
- Grade (5200-20200) + AGP Rs 1900/- + D.A.\* + P-F\*\*
- Increment Rs 355/-

#### 3) Technical Assistant/Senior Technician.

- Minimum Qualification: Diploma in the branch of concerned Engg. /PGDCA/M.Sc.(CS or IT).
- Grade (6200-20200) + AGP Rs 2800/- + D. A\* + P.F. \*\*
- Increment Rs 450/-

#### 4) Senior Technical Assistant/ Foreman.

- Minimum Qualification: AMIE or B. E./B. Tech. in the branch of concerned Engineering/ MCA.
- Grade (9300-34800) + AGP Rs 4200 + D.A\* + P.F.\*\*
- Increment Rs. 675/-

The higher positions may be filled directly or by promotions from the lower cadre. Any person who has put in a continuous service of 8 years out of which at least four years of service at ESHAN in a particular grade and whose performance has been adjudged satisfactory may be considered for the next higher grade.

- D. A.\* and P.F. \*\* will be as applicable from time to time
- Maximum P.F. deduction will be on Rs 6500/- Only.

### Performance Appraisal Scoring System (2017-18 onwards)

Objective

The main objective of introducing performance appraisal scoring system (PASS) is to introduce a transparent system to motivate each member of faculty to perform better and better in delivering quality education and research in ESHAN. The results of this assessment shall be used for the following purposes.

- 1. Award of annual increment in the pay bands.
- 2. Award of career advancement/promotion
- 3. Monitoring and recording of the regular growth of each member of faculty for ready reference.

The assessment shall be carried out every academic year after completion of the academic year ending 31st May. The overall performance of a faculty member during an academic year will be judged on the basis of the Credit Points earned as given below:

S. No.	Range of Credit Points Earned	Grade Description
1.	Greater than or equal to 75	Excellent
2.	Greater than or equal to 65 and less than 75	Very Good
3.	Greater than or equal to 55 and less than 65	Good
4.	Greater than or equal to 45 and less than 55	Fair
5.	Less than 45	Unsatisfactory

Any faculty member getting less than 45 Credit Points during any academic year will not be considered for award of annual increment.

The performance appraisal system report comprises two parts. The Part A is 'Self-Appraisal' to be filled by the faculty member and Part B is 'Remarks of the Reporting Officer' to be filled by the respective HOD or Officer nominated by the Head of the Institute.

Components of Assessment and Performance of Faculty Member

The job responsibilities of a faculty member can be broadly classified into five components which are given below:

S. No.	Category	Max. Credit
		Points
	Academic Activities	
I	1. Teaching	35
	2. Related Development Activities	
	Research Activities	
II	1. Academic Research	25
	2. Sponsored Research	
	3. Research Publications	
III	Extension Activities	15

	1. Consultancy project	
		_
	1 6	
	webinar/ Hackathone etc.	_
	4. Attending conferences/seminars/workshops/symposia	
	etc. and presenting a paper.	
	5. Organizing refresher/orientation/summer school/winter	
	school/FDPs/training/soft skill development	
	programme/methodology workshops etc.	
	6. Attending refresher/orientation/summer school/winter	
	school/FDPs/training/soft skill development	
	programme/methodology workshops etc.	
	7. Extension lectures delivered	
	8. Organizing certificate course	
	Administrative Activities	
	1. Student counselor	
	2. Batch Counsellor	
	3. Chief Proctor/Proctor	
IV	4. Laboratory Incharge	15
	5. Coordinator Professional Society	
	6. Coordinator incubation cell	
	7. Dy. HOD	
	Extra-Curricular Activities	
	Chief Coordinator Extra Curricular Activities	
	2. Coordinator Sports & Games	
V	3. Coordinator NSS	10
	4. Club Coordinators	
	5. Coordinator Blood Donation Camp	
	6. Coordinator News & Media	7

## (I) Academic Activities (35)

**Teaching:** Performance of students in the theory courses taught:

Semesters	Pass Percentage	Credit Points
	More than 60	16
B. Tech. I & II	Between 50 & 60	08
D. Tech. I & II	Less than 50	0
	More than 80	16
B. Tech. III & IV	Between 70 & 80	8
	Less than 70	0
	More than 90	16
B. Tech. V & VI	Between 80 & 90	8
D. ICCII. V & VI	Less than 80	0

	More than 95	16
B. Tech. VII & VIII	Between 85 & 95	8
D. Tech. VII & VIII	Less than 85	0
	More than 70	16
M. Tech.	Between 60 & 70	8
	Less than 60	0

• Additional Credit Points 4 will be awarded if **Grade Point Average** of all the students taught

 $\geq 6.0$ 

• Additional Credit Points 2 will be awarded if **Grade Point Average** of all the students taught

 $\geq$ 5.0 but < 6.0

## Related Development Activities Student feedback in theory courses taught

Feedback	Credit Points
Excellent	5
Very Good	4
Good	3
Average	2

## **Qualification Added**

Qualification	Credit Points
Ph. D. from NIT/IIT/CU/CSIR	14
Ph. D. from State Universities/IIIT	12
Ph. D. from other Institutes	10
Ph. D. from other private Universities	7

### Publication of a new book/book chapter

Publisher	Credit Points	
1 ublisher	Main Author	Co-Author
International Publisher	8	6
Leading National Publisher	4	3
Regional/State Publisher	3	2
Book Chapter	2	1

## **Development of laboratory manual**

Laboratory Manual	Credit Points
Development of full laboratory manual	4
Revision of laboratory manual	2

#### Awards, Honours and any other Academic Distinction received

Award	Credit Points
International Award	10
National Award	7
Local Award	2

#### Member of any Statutory Body of the affiliating university or any other University

Membership	Credit Points
Board of Governors	4
Academic Council	3
Board of Studies	2

## Foreign visit related with academic work as a visiting member: 10 Invited lectures and Chairmanship at International/National Conference

International/National Conference	Credit Points
International conference outside India	10
International conference outside state	8
National Conference outside state	5
International/National Conference within state	2

#### Preparation of course file: 2 Recording of quality video lectures

No. of Video Lectures	Credit Points
10 and more	8
Between 5 and 9	5
Between 2 and 4	2

## Certification in MOOCs (SWAYAM-NPTEL): Courses which are equivalent to FDP as per AICTE

Course	Credit Points*			
Duration	Topper	Gold	Silver	Successful
12 week course	12	8	6	4
8 week course	8	6	4	2

<sup>\*</sup>On completion of courses from MOOCs / Courscera not having equivalent FDP only 50% Credit Points shall be awarded.

## Active participation in NAAC accreditation activities: 4 (To be awarded by the respective HOD)

#### For developing on-line courses for SWAYAM

Course duration (weeks)	Credit Points
12	12
8	8
4	4

For adding new experiment under Virtual Lab at National Level: 10

#### (II) Research Activities (25)

#### **Academic Research: Research Guidance (degree awarded)**

Degree	Credit Points	
Ph.D.	8 per candidate	

M. Tech.	3 per candidate
B. Tech. (Project)	2 per group

## **Sponsored Research**

S.N.	Sponsored Research	Credit Points	
i	Preparation and submission of each project proposal to	1	
ĺ.	funding Agency	1	
	Sponsored ongoing project		
ii.	For each major project (Rs. 3.0 lakh & above)	5	
111.	For each minor project (Rs. 1.5 lakh above)	3	
iii.	For each complete major project on submission and	10	
111.	approval of final report by funding agency	10	
iv.	Project outcome (Patent/Technology/Product/Process)	10	

## **Research Publication**

Journal Type	Credit Points	
Journal Type	Author	Co-Author
For each paper in SCI Journal (Ratings Q <sub>1</sub> , Q <sub>2</sub> )	10	5
For each paper in UGC CARE LIST II and SCI Journals (Ratings Q <sub>3</sub> & Q <sub>4</sub> )	8	4
For each paper in UGC CARE LIST I and any	6	3
Other Journals having ISBN/ISSN & DoI Numbers and Conference Proceedings	3	2

## (III) Extension Activities (15)

S.No.	Activities	Credit Points	
i.	Consultancy Project Carried out/ongoing	4	
1.	(Amount mobilized for every 0.5 lakh)	4	
ii.	Carrying Routine and Developmental	2	
11.	(Testing services as per standards for every 0.15 lakh)	2	
	Conferences/seminars/workshops/symposia etc. organized for		
	International/National Level		
iii.	a. As Coordinator/Convener	3/day/activity	
	b. As Member	1/activity	
	50% Credit Points for state and college level		
	Additional Credit Points 2, if proceedings are published		
iv.	Conferences/seminars/workshops/symposia etc. attended and	4	
IV.	presented a paper at IITs, NITs, Universities of Repute	4	
	Refresher/Orientation/Summer School/Winter School/ FDPs/		
	Training/soft skill development programme/methodology		
	workshops organized		
v	a. As Coordinator/convener (2 week duration)	6	
v	b. As Coordinator/Convener (1 week duration)	3	

	Refresher/orientation/summer school / winter school / FDPs/	
	Training / soft skill development programme / methodology	
	workshop attended	
vi	a. Duration 2 week	4
VI	b. Duration 1 week	2
vii	Extension Lecture delivered at any other Institute	2
viii	Organizing certificate course for working people (More than 6	4
VIII	participants)	4

## (IV) Administrative Activities (15)

S. No.	Activities	<b>Credit Points</b>
1	Departmental Dy HOD	4
2	Member Examination Cell	2
3	Chief Proctor/Coordinator student feedback cell	4
4	Proctors	2
5	Laboratory Incharge	2
6	Student Counsellor	2
7	Batch Counsellor	2
8	Editor-in-Chief (College Magazine)	4
9	Editors (College Magazine)	2
10	Coordinator Professional Society	2
11	Coordinator E-Cell	2
12	Coordinator Alumni Association	2
13	MOOCs Coordinator/Mentors	2
14	NPTEL Certified Top Performing Mentors	4
	NPTEL Star Certificate Holders	
15	a. NPTEL super star	4
	b. NPTEL Evangelist	2
16	Participation in admission activities	2
17	Coordinator virtual lab	2
18	Industrial Tour Incharge	2
19	Invigilation duties as per norms	2
20	Placement Mentor	2
21	Local Industrial visits 2 & more	2
22	Members Departmental IQAC	2
23	Member incubation cell	2
24	Coordinator NIRF	2
25	Coordinator AISHE	2
26	Coordinator Time Table	2
27	Coordinator ERP	2

#### (V) Extra Curricular activities (10)

S.No.	Activities	<b>Credit Points</b>
i	Coordinator Sports & Games	4
ii	Chief Coordinator Pravah	4
iii	Chief Coordinator Extra Curricular Activities	4
iv	Coordinator various clubs	3
v	Coordinator NSS	3
vi	Chief Coordinator Blood Donation Camp & Coordinator	3
vii	Coordinator Media & News	2
viii	Activities related with Gramothan, clean Bharat (Swachh	2
	Bharat) etc.	2

All the Chief and other coordinators of extracurricular activities will have to submit detailed report of the activities organized during the academic year latest by 31<sup>st</sup> March failing which Award Points will not be granted.

## 7. Policy for Financial Support

To pursue vigorously the policy to promote quality education, the Institute has decided to put greater emphasis on post graduate and research programmes. To strengthen these programmes the faculty will be encouraged to participate and publish their research papers in National and International journals of repute. For this purpose the Management may provide financial assistance. Request for financial assistance to attend national and international conferences, seminars and workshops for the purpose of presenting papers will also be considered but will have lower priority.

#### **Purpose:**

- To promote faculty development activities.
- To strengthen PG programmes.
- To encourage research and development.
- To allow the faculty to interact with faculty of institute of higher learning.

#### **Eligibility:**

- All the faculty members who have put it at least one year of service with institute will be eligible for financial assistance.
- No faculty member will get financial assistance for more than two times during any one academic session.
- Only one author will be permitted to participate in the conference for the purpose of presenting the research paper.

#### **Order of Priority:**

 Top priority will be given to those whose research papers are accepted in the standard refereed international journals. The faculty members will have to seek travel and other financial assistance from the bodies like AICTE, UGC, CSIR, DST etc. The management may grant them subsidy on the recommendations from the Director on the basis of reputation of the journal, seniority of the faculty and the availability of funds.

- Second priority will be given those whose research papers are accepted in the
  refereed National journals of repute. All such faculty members will be granted full
  registration fee, to and fro railway/bus fare and boarding and lodging expenses if the
  same are not provided by the organizers.
- Peer reviewed research papers accepted for presentation in international/national conference to be held in India in the Institute of National importance (IISc, IITs, IIMs, NITs and Central Universities etc.) will be given next priority, The faculty members will be given 50% of the registration charges and full to-and-fro rail/bus fare and boarding and lodging expenses if the same are not provided by the organizers.
- Research papers accepted for presentation in local and other conferences where papers are not peer reviewed will be given the last priority. In all such cases only 50% of total expenses which may include registration charges, to-and-fro rail/bus fare and boarding and lodging charges will be reimbursed.

#### **Grant of leave:**

In all such cases the faculty members will have to apply in advance for academic leave out of six for which they are eligible. For presentation of research paper outside India the faculty members may be granted academic leave in excess of six academic leave if need arises.

## 8. Policy for Entitlements for Travel and TA/DA

#### **Preamble:**

The aim of these rules is to ensure that all concerned are aware of their entitlements for moves on duty, the mode of transport authorized, the TA/DA and incidentals they can claim, and the amounts that would be reimbursed for meeting the Hotel expenses. It is necessary that all members of ESHAN family exercise restraint in expenditure while on duties involving official moves outside the college. The duties must be planned well in advance and maximum advantage must be taken of low fares especially when travelling by air. Short distance journeys to places within Uttar Pradesh and to places within 500 kms would always be undertaken by bus/taxi/train. All claims would be made on actual expenditure incurred.

#### **Classification of Duty:**

#### a) Local Duties within Agra, Mathura & upto Varindavan. Instructions regarding:

- i. Reimbursement on use of own Transport. For duties within Agra, personal transport would be made use of. No reimbursement would be made in case of one- off duty to places located close-by. However, in case the duty requires frequent travel, a request for reimbursement of fuel charges may be made. This reimbursement would be limited up to a maximum of Rs 9/- and Rs 6/- per km for four and two wheelers respectively.
- ii. Use of Institution Transport. The institutional transport, being a limited resource, cannot be normally made available for local duties. However in case own transport is not available, the staff/faculty member must make a request for using Institute transport. The request dully recommended by the respective HOD, would be put up to the Registrar for approval. Such requests must be made at least a day in advance. When using the Institution transport,

- it should be ensured that the no misuse occurs and it is used exclusively for the purpose sanctioned.
- iii. Use of City Taxis/Auto Rickshaws/Buses from/to Rly/Bus Station or the Airport. Use of private taxis/auto rickshaws/city buses may be made for specific purposes like travelling to and from the institute/residence to the airport/railway station/bus station as part of an official duty. Actual expenditure incurred on taxi charges may be claimed as reimbursement. The entitlements would be as under:
- iv. Normal Taxi-Professors and above and equivalents.
- v. Shared Normal Taxi-Associate, Assistant Professor and equivalents.
- vi. Auto Rickshaws- All are allowed.
- vii. Buses-Technical Assistant and Class IV staff.

#### b) Out Station Duties.

- i. Travelling by Air, Entitlement to travel by air is permissible only to Professors and above. However, in case of urgency, others may be permitted with the prior specific approval of the Director. The following would be ensured while travelling by air:
- ii. Prior sanction will be obtained
- iii. It will be resorted to only in rare cases where time is a constraint.
- iv. Low cost airlines would be made use of and the best bargain fares obtained
- v. Discount will be sought by availing a return ticket
- vi. Travelling by Bus. The entitlement for travel by bus would be as given below:
- vii. Volvo Bus. Associate and above
- viii. Super Deluxe Bus. Assistant Professor and Associate Professors.
- ix. Deluxe/Normal Express Bus. Other than above.
- x. Travelling by Train. AC I, AC II or AC III Entitlements.
- xi. AC I:Not be permitted for anyone
- xii. AC II: Professors and above and equivalents
- xiii. AC III: Assistant Professor, Associate Professors and equivalents.
- xiv. Sleeper-All others
- xv. Travelling by Taxi. Travel by taxi for intercity travel would be made only in exceptional circumstances. Where inescapable, use of small segment taxi will be made. Use of AC taxi will be made only if the high temperatures make it necessary. For hiring of transport in the distant city, use will be made of auto rickshaw and city buses to the maximum extent and car taxi would be hired as an exception. When car / taxi are hired, the entitlements would only for Professors and above and equivalents as under:
  - a. AC Taxi Professors and above and equivalents.
  - b. Shared Non AC Taxi- Assistant Professor, Associate Professors and equivalents.
  - c. Travelling by own Transport. Travel by own transport may be done for intercity travel. The reimbursement will be limited to the train fare (AC II or AC III fare as entitled).

#### **Entitlement of Travelling Allowances and Incidentals:**

A maximum of Rs. 500/- per diem would be reimbursed towards incidentals that would include the meals charges, porter charges, parking charges etc.

#### **Entitlement of Expenses for Stay.**

• Stay at Hotel. The maximum charges reimbursable for stay at hotels would be as given below or actual whichever is lower.

Category	A	В	С	Remark
Professor & Above	4500	3500	2500	or actual
Associate Professor	4000	2000	1500	wherever is
Others	2500	1500	1000	lower

• Stay under own Arrangements. When staying under own arrangements or under the arrangements of the host, no charges would be reimbursed.

#### **Advance Requisition:**

All moves would be got approved in advance and the application would indicate the mode of travel, the place of stay and the likely expenses that would be incurred.

#### **Preferring of Claims for Reimbursement:**

Actual bills in support of the claim would be submitted.

#### **Other Pre-requisites:**

- All moves on duty must be authorized by the competent authority.
- Moves must be planned well in advance –preferably at least four months ahead for educational tours and one month for other activities.
- Leave will normally not be granted in conjunction with duty.
- Cancellation charges owing to poor planning will not be reimbursed.
- While staying in hotels sharing of rooms with same gender colleagues would be resorted to.
- Maximum use would be made of low fares and discounts available.
- Agent charges would not be reimbursed.

## 9 Policy for Vacations/Availing Leave by Faculty Members

#### **Summer Vacations:**

- The teachers are entitled to summer vacation of 15 days with pay who join the Institute in the beginning of the academic session and 7 days with pay who join in the middle of academic session. Teachers who join after 31st December will not be entitled for summer vacation.
- A teacher may be granted PL (Privilege Leave) in lieu of summer vacation if the Institute detains him/her. The PL so granted will be fifty percent of the total number of days for which he/she is detained.
- The PL earned by a teacher can be availed of during the subsequent academic session with the prior approval of the competent authority. It is clarified that PL cannot be claimed like CL. Its prior approval is essential and the Management reserves the right not to grant PL to any teacher.
- The PL cannot be accumulated and carried forward beyond one academic session.

• The PL can be availed on medical grounds provided a medical certificate from the competent authority is submitted to the satisfaction of the Management.

#### **Autumn and/or Winter Break:**

• The teachers are normally entitled for autumn and/or winter break which may be curtailed/cancelled for some or all teachers without any compensation.

#### **Maternity Leave:**

All the married female teachers are entitled for maternity leave without pay for a
period of three months for a maximum of two children on production of medical
certificate from the competent authority. If the leave so granted exceeds three
months, the date of increment will be deferred by a period (in complete months) by
which it exceeds three months.

#### **Academic Leave:**

- A teacher may be granted three days academic leave with pay per semester for academic work elsewhere. For availing academic leave the faculty must attach proof of academic work along with the leave application and submit certificate of attendance after availing leave.
- If a teacher is officially sponsored for higher studies he/she may be granted academic leave on the days of end semester examinations.
- If a teacher is officially sponsored for attending a course during the session he/she may be granted academic leave during the course period. No teacher shall be permitted to attend more than two such courses during any one academic session. If the course duration exceeds six days, the summer vacation will be curtailed corresponding to the number of days by which the course duration exceeds

#### **Casual Leave:**

A teacher can avail casual leave subject to the following conditions:

- A teacher is entitled to avail casual leave with pay up to a maximum period of 12 days in one academic session. Within the first semester a newly appointed teacher can not avail leave with pay for more than six days during the probation period.
- The teacher will have to get the leave sanctioned in advance from the competent authority except in case of sudden illness or emergency. This kind of privilege cannot exceed more than six times in the entire academic year. If it exceeds, the leave may be converted into leave without pay, even there is a casual leave in balance.
- No leave can be claimed as a matter of right.
- If the total leave without pay during any academic session exceeds 10 days, his/her date of next increment will be deferred by three months except in case of one time leave granted on account of medical reason or the marriage of the self.
- If the period of leave sought by a teacher exceeds the leave in balance, then, the entire period will be considered as leave without pay.
- A teacher may be granted up to two short leaves per month each not exceeding two
  hours for attending urgent work which cannot be carried out after college hours. This
  kind of leave is not meant for going home early or for coming late in the morning or
  for attending family functions.

- Half casual leave will not be granted if you have a class during the leave period. In such a case leave should be applied for full day.
- Sundays, holidays and any other type of leave cannot be combined with without pay leave.
- If a faculty member is called on duty on holidays he may be granted compensatory casual leave which has to be consumed in the following week only. CCL can"t be claimed as a matter of right.
- If a person remains absent without permission his/her increment may be deferred by three months. In case of repeated absence may lead to termination of his/her services from the Institute.

### **Procedure for Availing Casual Leave**

All the teaching and non-teaching staff members are required to follow the rules and proper procedure when they wish to avail leave.

- The leave application duly forwarded and recommended by the Head/In-charge must be submitted well in advance for all planned activities like family functions, going out of station, examination and other academic activity elsewhere etc.
- It is the responsibility of the person concern to make alternative arrangement for engaging classes or other duties. No leave will normally be sanctioned if alternative arrangements are not made.
- If under emergency conditions a person avails casual leave then he/she should inform the Head/Principal at the earliest possible opportunity so that alternative arrangements can be made with minimum possible dislocation of work. In no case leave application will be entertained if he/she forgets to submit his/her leave application on the day of resuming duties. In such a case the entire period of leave will be treated as leave without pay.

#### **Guidelines for Granting Short Leave**

- The short leave to faculty and staff, henceforth will be granted by the respective HODs.
- In no case it should exceed two hours.
- A maximum of two short leaves in a month can be granted to any faculty/staff.
- It cannot be accumulated.
- Not more than 10% of the faculty/ staff should be granted short leave on any one day.
- A short leave may be granted to faculty /staff on the grounds that he/she may have an appointment with the doctor, bank work or work in any other office which normally closes after the working hours of the Institute. It should not be granted for going home early or for going out of station. In such a case he/she should be asked to apply for half casual leave.
- In no case short leave be granted to any one if he/she has a teaching assignment during that slot. They should be asked to apply for half casual leave and provide substitute for engaging that class.
- A record of short leave should be maintained in the respective HOD"s office by the office assistant.

• Ordinarily only HODs are authorized to sanction short leave, but if they are on leave on any day it may be sanctioned by Deputy HODs.

## 10. Campus Placement Policy

#### **Preamble:**

The biggest concern of a final year engineering student is to get a good job in a reputed organization before he/she completes his/her B. Tech. degree. The Institute's placement cell provides the students with the best possible opportunities through campus placements. Many good students sometimes fail to get jobs in good companies due to absence of right guidance. The purpose of placement policy is to prepare them well in advance so that students get selected by their dream companies.

#### **Placement Committee**

Purpose: The purpose of this committee is to formulate placement policy and to
provide guide-lines to the Placement Working Committee. The Placement
Committee will review from time to time the achievements of placement working
committee and may make changes in the policy.

#### Composition:

The Placement Committee will be composed of the following members

- Director
- Registrar
- All Heads of Engineering Departments
- Placement Officers

#### **Placement Working Committee**

Purpose: The purpose of this committee is

- To contact and invite companies for placement.
- To organize training in various skills required for placement and to motivate students to participate wholeheartedly.
- To obtain feedback from all stake holders.
- To forward quarterly reports to the Placement Committee
- Composition: Placement working committee will be composed of the following members
- Two members to be nominated by the Placement Committee
- One faculty member from each engineering department to be nominated by respective HODs
- Two students from each department (one each from B. Tech V & VII semesters) to be nominated by respective HODs)
- Nomination: The members of the placement working committee may be changed or re- nominated by the Placement Committee

#### **Implementation of Policy:**

• The training of students in various skills may be organized in stages which may be decided by the Placement Working Committee. Keeping in view the importance of communication skills, soft skills and CRT programme in the corporate world, every student who is interested in campus placement will have to undergo these trainings to

be organized in the Institute. Without under-going these trainings no student will be permitted to participate in the campus interviews. It is suggested that training for communication skills, soft skills programme may be imparted during B. Tech I/II sem, III/IV sem and V/VI sem respectively. The training should be organized during free periods, if possible or beyond Institute's working hours. While organising these trainings, care should be taken that as far as possible academic programmes are not curtailed.

- During B. Tech I year the faculty members and batch counselors, after the admissions are finalized, will assess strengths and weaknesses of each student based on the their observations. The criteria for observation will be prepared and provided by the placement cell.
- At the beginning of the B. Tech III semester, the students will register themselves with the placement cell and provide the required information such as their contact numbers and e-mail addresses.
- At the beginning of the B. Tech VI semester, the students interested in campus
  placements will fill the detailed application form obtainable from placement cell &
  register themselves for campus placements. Based on the information provided by
  the students, the placement cell compile the data and the Placement Working
  Committee will carry out the process of placements of the students.

#### **Placement options for students:**

A renowned company as decided by the Placement Committee will be given the status of Day One Company which will be invited by the Placement Working Committee before all other companies. At the time of registration the students will give their choice for any company henceforth will be called Dream Company. Sometimes it may happen that core companies may be permitted to hold campus interviews before the visit of Day One Company. In order to give fair chance to all thestudents certain restrictions will be imposed on the participation of students in campus interviews. The participation rules are as follows.

- Every eligible registered candidate will be permitted to participate in the campus interview of his/her Dream Company.
- If a registered student gets selected in his/her Dream Company, prior to the arrival of Day One Company, he/she will not be permitted to participate in the subsequent campus interviews including that of day One Company.
- All eligible registered students debarring the category as mentioned in (1) above will be permitted to participate in the campus interview of Day One Company.
- Eligible registered students not selected under categories (1) and (2) above will permitted to appear in subsequent campus interviews till he/she get placed in some company. However, if a student is rejected in two consecutive campus placements he/she will have to undergo retraining prescribed by placement cell. Without undergoing retraining the student will not be permitted to appear in subsequent campus interviews.
- No student will be allowed to seek more than one offer letter from companies other than BPOs through campus placements.

- No student will be allowed to seek more than one offer letter from BPOs through campus placements.
- In some exceptional circumstance the placement committee may permit some or all students to appear in some renowned company.
- Any student who has registered for any particular campus placemat will have to participate seriously in all stages of recruitment process failing which he/she will be debarred from participation in two subsequent campus placements.
- Any student furnishing false information will be debarred from that and the next campus placement.

#### **Future Action Plan**

- An action research plan will be prepared and based on that effectiveness of each of
  the modules of different trainings imparted to the students for their placements will
  be analysed. Based on the outcomes these modules may be revised or
  enlarged/curtailed.
- For any other uncovered point the Placement Working Committee will seek guidance from the Placement Committee.

### 11. Scholarship/Studentship Policy

#### **Procedure:**

Applications for award of scholarships/studentships will be invited from all the eligible candidates at the beginning of each academic session.

#### **Eligibility**

- All bonafied and regular students pursing any of the B. Tech., or any other courses and whose parents" income (combined income of both parents) is less than Rs. 1.0 lakh per annum and who have passed the previous university examination in single attempt are eligible to apply for the scholarship/studentship. The students admitted under Tuition Fee Waiver Scheme and Institute/Management quota or receiving financial support from any other source are not eligible to apply.
- Merit list will be prepared branch wise and class wise on the basis of results of
  previous year/semester university examination by the award committee. In the case
  of first year the merit will be prepared on the basis of the rank in (state level and (or)
  national level entrance examination for admission in the applied course). The
  number and amount of scholarships/ studentships may vary each year and will
  depend upon the availability of funds.
- In general the scholarships/ studentships will be awarded on the basis of need cum merit. However, the preference will be given to those candidates whose parents are not alive (supporting documentary evidence(s) will be required).
- The candidates will have to produce documentary evidence of combined income of both parents from the appropriate authority.
- The recipients of the scholarship/ studentships will be required to give an undertaking that they will remain regular and maintain attendance more than 75% in each theory/tutorial/laboratory separately and also strictly abide by the rules and regulations of the institute, failing which the scholarship/ studentship will be

discontinued and the awardees may be required to refund the previously awarded amount.

- On the recommendations of the committee the selected students may also be given books on loan from the institute"s book bank without any charge. This will be in addition to the number of books issued to the students from the library.
- Those Students whose parents are employees of ESHAN and the combined income of both parents is less than Rs. 1.5 Lakh per annum are eligible for the scholarship/studentship. A maximum of one candidate will be considered in this category. It is essential that candidates must have secured a minimum of first class at secondary and senior secondary level examinations. In case of more than one candidate, selection will be made on the basis of merit. Preference will be given to female candidates. An employee can avail this facility only once.
- Students with back-logs in his/her available result of previous year/semester will not be considered for scholarships/ studentships. The result at the time of submission of application will be the basis for the merit i.e. eligibility cannot be reestablished on the basis of revised result after the re-totaling /revaluation process.
- Students who, after having completed their educational career in one professional line, like are not eligible for the scholarships/ studentships.
- If a student is receiving scholarship (or has received scholarship in the past), then none of his/her brother(s)/sister(s) will be eligible for the scholarship/ studentship in present or later time.
- Students with any financial dues in the previous year/session will not be considered for the scholarship.
- Normally a student can only apply for the scholarship/ studentship at the time of his/her admission. However based on the recommendation of scholarship/ studentship committee a student may also be considered for scholarship during the intermediate session.
- If a student has shown an extraordinary performance in areas of sports/extracurricular activity, then based on the recommendation of scholarship/studentship committee he/she may be considered for scholarship/studentships.

## 12. Student Attendance Policy

#### **Recording of Attendance**

It is mandatory for all the faculty members to maintain attendance records of students in their lecture/tutorial/laboratory classes properly and methodically. Casual attitude regarding maintenance of attendance records is counter –productive and it has been observed in the past that condoning of attendance by teachers on medical or any other ground has resulted in deterioration of discipline.

• It has been decided to implement attendance rules very strictly. The faculty members are, therefore, requested to maintain attendance records carefully so as to avoid any last minute problem. The following points must be strictly adhered to.

- The faculty members in their very first class must explain to the students about the importance of classroom attendance and about the strict attendance policy of the Institute.
- The attendance must be recorded right from the day one of the commencement of classes in a permanent register obtainable from the office.
- The faculty members must take the attendance of the students by their names and not by serial number/roll number.
- If a student is present his/her attendance should be recorded 1, 2, 3,..... and not by"P".
- If a student is absent he/she should be marked "A" and not by a dot. Anyone can very easily convert a dot into a present.
- The attendance should be recorded along with the date. If on any particular day a scheduled class could not be held due to some reason, then, a column corresponding to this date should be assigned in the register and the reason for not holding the class along with the date should be recorded. The possible reason may be campus interview, an industrial visit, teacher on leave, a holiday, mass cut by students etc.
- No faculty member will grant any attendance to any student on account of medical or any other reason except when the student is deputed officially to represent the Institute elsewhere. In all such cases the faculty members may grant duty leave to students (which should be treated as present for attendance purpose only) on production of a certificate to this effect from the Director. No verbal statement from the student be considered. Duty leave should be recorded as "D" in the attendance register.
- The faculty members must inform to the students in their very first class that they will not get any attendance on the basis of medical certificates. You may, however, keep in your record a photocopy of the medical certificate, if a student submits it to you.
- On any day even if a single student is present the attendance must be recorded along with the date and the class be engaged for full time in the allotted classroom or the laboratory. The student who has turned up should not be sent away as it encourages others to cut classes.
- The faculty members after every two weeks should disclose in the class the names of the students having attendance less than 75%.
- The faculty members at the end of every four weeks should forward to their respective HOD the attendance of all the students. This record must contain information about classes engaged, classes attended and percentage of attendance highlighting the names of the students having attendance less than 75%.
- The HODs will consolidate the list submitted by the individual teachers and display on the notice board the list of those who have shortage of attendance. They should also forward a copy to the Principal and inform the parents through batch counselors.
- As per the prevailing rules and regulations of the University it is mandatory for the students to have minimum of 75% attendance in each subject including tutorials and in each laboratory class.

- One week before the commencement of each term test the teachers are required to submit to their respective HOD the attendance of all the students as mentioned in 11 above, clearly identifying students having attendance less than 75%. The attendance for the first term test be counted from the beginning of the session to one week before the start of the first term test.
- The attendance for the second term test be counted from one week before the first term test to one week before the start of second term test.
- The HODs will consolidate all the cases and submit the consolidated list of students along with the attendance details and their comments if any to the Director at least three days before the beginning of each term test so that it can be displayed on the notice board.
- No separate reminders will be issued in future and the teachers are requested to fully cooperate and submit the required information without delay and without fail.
   Further the teachers must take utmost care in sending the names of the students having attendance below 75%.
- If a student arrives late in the first period by more than ten minutes no attendance should be granted to the student.
- To ensure that the instructions are strictly followed, the HODs will inspect the attendance registers once in a fortnight and put their signatures.
- The faculty members may be asked to submit the attendance register to the Principal without prior notice.

#### **Mandatory Requirement of Attendance**

A minimum of 75% attendance is mandatory as notification to become eligible for appearing in the midterm and University examinations. The students are therefore advised in their own interest to remain regular right from the beginning so that in case of any contingency their attendance may not fall below the statutory requirement.

Eligibility for First Midterm Examination:-

- A student must have a minimum of 65% attendance in all theory papers, corresponding tutorials and laboratories put together in order to become eligible for appearing in the first midterm examination.
- Students having overall attendance less than 65% will be debarred from appearing in the first midterm examination. However they may be permitted to appear in those subjects in which their attendance is more than 65%.
- The attendance for the first midterm examination will be counted from the beginning of the session to the last working day prior to first midterm examination.

Eligibility for Second Midterm Examination:-

- A student must have a minimum of 65% attendance in all theory papers, corresponding tutorials and laboratories put together in order to become eligible for appearing in the second midterm examination.
- The attendance for the second midterm examination will be counted from the working day just after the first midterm examination to the last working day prior to second midterm examination.

- Students may also be permitted to appear in the second midterm examination if they have a minimum of 65% attendance from beginning of the semester to the last working day prior to second midterm examination.
- If none of the above two conditions are satisfied the students will be debarred from appearing in the second midterm examination. However they may be permitted to appear in those subjects in which their attendance is more than 65%.

#### Eligibility for University Examinations:-

- The overall attendance for appearing in the University examination should be as per norms set by the University.
- Attendance of students on days of midterm examinations will also be counted for overall attendance for appearing in the university examinations provided the students have appeared in the midterm examinations.
- Similarly attendance of students on days of back paper examinations will also be counted for overall attendance for appearing in the university examination provided the students have appeared in the back paper examinations.

#### Extra Midterm Examination:-

- A student who has been debarred due to shortage of attendance in the first midterm examination but attains a minimum of 65% attendance from the beginning of the semester to the end of the semester may be allowed to appear in the extra midterm examination.
- As per university rules a relaxation in attendance not exceeding 5% may be granted on medical grounds provided medical certificate is submitted to the Director at the time of medical contingency.
- A student who has not been debarred in midterm examination but could not write the examination (one or more papers) due to medical reasons may be allowed to appear in extra midterm examination provided he/she has submitted medical certificate to the Director at the time of medical contingency.

A student who has class attendance more than 80% and wish to improve his midterm examination marks may also be allowed to appear in the extra midterm examination. Marks of the best two performances in the midterm examinations will be considered.

#### Rules Regarding Grant of O.D. Leave

- During any one semester O.D. leave not more than five days may be granted to a student. In a special case this rule may be relaxed provided there are valid reasons.
- Student"s participation in activities outside the Institute it is essential that the participant must have a minimum of 75% attendance. No permission will be granted to any one whose attendance is less than 75%
- Students attending seminars/workshops/extension lectures/industrial visits etc. organized by the Department will be considered for grant of attendance on hourly basis by the respective department.
- Students required to undergo summer training must join the place of training on dates as specified in the Institute's academic calendar.
- Students joining training on a later date without prior permission will not be granted O.D. leave.

• In case training duration is extended by mutual agreement between the student and the training organization, it must be brought to the notice of the Institute well in advance for prior approval from the Director.

## 13. Co-Curricular/Extra-Curricular/Sports Policy

Institute focuses on all round personality development of the students. They are constantly encouraged to participate in co-curricular and extra-curricular activates. The primary goals of these activities is to focus on the individual student level, the institutional level and the broader community level. These activities complement the academic curriculum and augment students" learning and development. By working together in a group, students learn to negotiate, communicate, manage conflict and lead others. They also understand the importance of critical thinking skills, time management and intellectual competence.

- To give students an opportunity to show case and have their talents and also to prepare them for inter and intra college competitions, many clubs have been floated in the Institute, students of first year to final year can participate in any club depending upon his/her interest. The faculty coordinator and student coordinator for each club is provided to run the club. These clubs are run and managed by the students under the guidance of the faculty member. Some of the important clubs are Sports Club, Literary club, event mgmnt club,tech club, photography club etc.
- The institute has created excellent sports facilities for students which includes, tennis courts, basketball courts, volleyball grounds, badminton courts cricket ground, football ground, separate gymnasiums for boys and girls, facilities of indoor games such as table tennis, chess, carom etc.
- Extension activities such as cleanliness drives, tree plantations and blood donation camps, etc. are regularly conducted with high level of students participations.
- Students are given duty leaves for their participation in Co-Curricular and Extra-Curricular activities. They are also awarded marks in discipline & extracurricular activities section for motivating their participation.

#### **Policy for Student Participation in Inter-Collegiate Events**

- For registration, students have to submit proof (dully verified by batch counselor) of having maintained at least 75% attendance in the current semester.
- For any event if the number of entries exceeds the number required, it will be finalized by the expert (In-house faculty) in that field.
- A student can participate in maximum two events in a semester.
- For participation in outstation events, only those students will be considered who possess a past record of participation.
- Registration of girl candidates for participation in outstation events is subject to the consent of their parents in writing.
- Registered participants are entitled for grant of attendance in the classes held during the period of the respective event.
- Registered participants are entitled for reimbursement only after submitting the report on the event.

#### **Reimbursement Rules (for the registered candidates only)**

- 50% of the registration fee.
- 50% fare of the sleeper class/bus (in case of out-station event)

## 14. Grievance Policy

The institute has well defined Grievance Redressal Policy to address any kind of grievance. Various committees like Women Grievance / Anti-Sexual Harassment Committee, Anti-Ragging Committee, Caste Based Discrimination Prevention Committee are formed under an umbrella of Grievance Redressal Committee (GRC) for taking appropriate action against any grievance.

#### **Grievance Redressal Mechanism (GRM)**

Grievance Redressal is a management- and governance-related process adopted in Institute. The term "Grievance Redressal" primarily covers the receipt and processing of complaints from stakeholders, a wider definition includes actions taken on any issue raised by them to function as per their roles more effectively. The institute has a Grievance Redressal Committee, which takes care of any kind of Grievance occurring in the organization. The effectiveness of implementation of a Grievance Redressal Mechanism (GRM) can be calculated with the following parameters:

#### **Process**

- Input acceptance: Faculty members/ staff members and students may convey their grievance to the organization through online mechanism available on ERP. They may also submit their grievances through feedback forms, emails or in person to the Grievance Redressal Committee.
- Anonymity: Complainants are often reluctant to report grievances that target individual faculty or staff members of the organization. To avoid such issues the committee assures that Complainant identity will be hidden from others, and preferably from everyone.

#### Work Flow

The objective of this cell is to deal with redress of grievance. The aggrieved individuals (Faculty/staff/students) shall indicate the details of his/her grievance and the same to the principal, who in turn will refer the same to the concern redressal committee. The grievance of the individual will be given fair and reasonable opportunity to be heard in detail before the chairman and other members of the concerned committee in a peaceful and conciliatory environment depending upon the issue if need to be, appropriate evidence in the form of a material evidence or personal witness may be introduced by the aggrieved person. The chairman of the committee, in constitution with the member(s) will submit the report to the Director at the earliest possible time. The Director in turn, depending upon nature, magnitude and jurisdiction of the issue, will arrange for the appropriate and early measure of redressal of grievance, under the management and same will be communicate to the 'aggrieved person'. All the proceeding, of the Grievance Mechanism will be suitably documented and recorded.

#### *Composition of GRC:*

a.	Director /Professor of the institute	Chairperson
b.	Professor/ Sr. Associate Professor for a period of two years	Vice-Chairperson
c.	Registrar	Convener

Terms of Reference of the Grievance Redressal Committee

- Any employee of the college could address his/her grievance in writing to the Chairman of the Committee.
- The grievance could be arising out of policy matters or personal reasons.
- Whenever an employee wishes to put forth any claim or seeks redress of any
  grievance or of any wrong, which he deemed having been done to him, he must
  forward his case through proper channel, and shall not forward such advance copies
  of his application to any higher authority, unless the lower authority has rejected the
  claim, or refused relief, or the disposal of the matter is delayed by more than three
  months.
- No employee shall be a signatory to any joint representation addressed to the authorities for redress of any grievance or for any other matter.
- The committee shall call for meeting within a week of receiving any grievance and submit its recommendations to the Director within a fortnight of having received a grievance. The process could be expedited at the discretion of the Chairman of committee if the grievance be of such a nature which may need immediate attention.
- Three members of the committee, present in person, shall constitute a quorum at any meeting of the committee.
- If the complaint is against any member of the committee at above, he /she shall not form the quorum of that committee in which his / her case is being heard of and decided. In such a case, the senior most person on the committee will co-opt another suitable member with the permission of Director to have the quorum of three members on the committee.
- The decision of the Director will be final.

#### Women's Grievance / Anti-Sexual Harassment Cell

The Institution has established Women's Grievance Cell with the objectives of redressing the grievances of the students and the staff, of any sort related to women. It provides confidential and supportive environment for members of the campus community who might likely have been sexually harassed; advises complainant of the informal and formal means of redressal; ensures the fair and timely redressal of sexual harassment complaints. It also provides information regarding counseling and support services on the campus, and promotes awareness about sexual harassment through educational initiatives that encourages and fosters a respectful and safe campus environment.

Objectives of the Cell

- To provide a platform for listening to complaints and redressal of grievances.
- To incorporate hygiene habits and ensure a healthy atmosphere in and around the university premises.
- To prevent sexual harassment and to promote general well-being of female students, teaching and non-teaching women staff of the institute.

What is Sexual Harassment?

According the Supreme Court Order, sexual harassment is any unwelcome:

Physical contact and advances,

- Demand or request for sexual favour
- Sexually coloured remarks
- Display of pornography
- Any other unwelcome physical, verbal and non-verbal conduct of a sexual nature.
- Basically it is any unwelcome words or actions of sexual nature.

#### Functions of the Cell

- To provide a neutral, confidential and supportive environment for members of the campus community who may have been sexually harassed;
- To advice complainants of the informal and formal means of resolution as specified by the Cell;
- To ensure the fair and timely resolution of sexual harassment complaints;
- To provide information regarding counselling and support services on the campus;
- To ensure that students, faculty and staff are provided with current and comprehensive materials on sexual harassment and assault;
- To promote awareness about sexual harassment through educational initiatives that encourages and fosters a respectful and safe campus environment.

#### Goals of the Cell

#### The Cell seeks to achieve

- Awareness Through Dissemination of Information through production, distribution and circulation of printed materials, posters and handouts
- Awareness Through Workshops about sexual harassment for faculty, non-teaching staff and students. The aim is to develop non-threatening and non-intimidating atmosphere of mutual learning.
- Awareness by Counseling Confidential counseling service is an important service
  as it provides a safe space to speak about the incident and how it has affected the
  victim because sexual harassment cases are rarely reported as it is being a sensitive
  issue.

#### Preventive steps

#### It will be the endeavor of the committee:-

- To facilitate a safe environment that is free of sexual harassment.
- To promote behaviors that create an atmosphere that ensures gender equality and equal opportunities.

#### Remedial

- To ensure that the mechanism for registering complaints is safe, accessible and sensitive.
- The mechanism for registering complaints is safe, accessible and sensitive.
- To take cognizance of complaints about sexual harassment, conduct enquiries, provide assistance and redressal to the victims, recommend penalties and take action against the harasser, if necessary.
- To advice the competent authority to issue warnings or take the help of the law to stop the harasser, if the complainant consents.
- To seek medical, police and legal intervention with the consent of the complainant.

• To make arrangements for appropriate psychological, emotional and physical support (in the form of counseling, security and other assistance) to the victim if so desires.

#### Procedure for Registering Complaints

- All complaints must be brought by the complainant in person to any member of the committee. The exception for this will be in case of forced confinement of the person. In such a case, brought by another person on behalf of the complainant, the committee will examine whether an investigation, intervention or some other assistance needed. In exceptional cases, third party/witness complaints may be entertained. In such cases, the committee will ascertain whether the person alleged to have been harassed wishes to lodge a formal complaint. Once such a complaint is received the committee shall proceed to investigate it as per the procedure specified.
- If the complainant wishes, can be accompanied by a representative. The committee will consist of three faculty members to be nominated by the academic and Management council for a period of three years.
- A complainant can go directly to the competent authority. However, in such cases, which should be exceptional, the complainant should give reasons for doing so. In such cases, it is open to the competent authority to refer the complaint back to the appropriate committee/authority.

#### Enquiry Procedure

#### A suggested enquiry procedure would be as follows:-

- Any person may register a complaint of Sexual Harassment against any student, employee or third party of the Institute or vice versa, with the Chairperson or, at their choice, any of the other members of the committee. They should do so at the earliest point of time, but preferably within 30 days of occurrence of the alleged incident.
- The complaint may be made orally or in writing. If the complaint is made orally, the complainant will be requested to confirm the complaint in writing and sign it.
- The committee, or a minimum of 3 members of the full Committee, will meet with the complainant latest within 30 days of receipt of the complaint. They will inform the complainant of this meeting date at the earliest.
- At the first meeting with the Committee, the Complaint will be heard and his/her statements will be recorded. The complainant will be asked to produce any corroborative material that can substantiate the complaint.
- The committee will record the proceedings of the enquiry, and the attendance of all parties present at any of the meetings.
- Thereafter, the person accused of the alleged Sexual Harassment will be called for a meeting with the Committee. The letter of invitation will mention the fact that a complaint has been registered against him/her. During the meeting the complaint will be related to the Accused in detail, and the Accused will be given the opportunity to provide his/her view of the situation.
- The Committee will provide the Accused with a copy of the statement of allegation made by the Complainant, and provide the Accused with an opportunity to submit a written response, should he/she so desire, within 15 days of receipt of the statement.

- The Complainant will be provided with a copy of the written response submitted by the Accused.
- If the Complainant or the Accused want to call on witnesses, they must write to the Committee with the names and contact details of those witnesses, after which the Chairperson of the Committee will call the witnesses.
- If the Complainant wishes to provide any documentary evidence, he/she must supply true copies of those documents to the Chairperson of the Committee. Similarly, if the Accused wishes to tender any documentary evidence, he/she will be requested to provide true copies of those documents to the Complainants Committee. To ensure that the documents are considered as true copies, parties will be required to sign the documents submitted.
- After having heard both parties, the Committee will conduct an enquiry into the
  evidence provided by both parties. The enquiry may include meeting with witnesses,
  consultation with experts and any other activities required to establish a balanced
  view of the case.
- The committee will provide every reasonable opportunity to the Complainant and to the Accused to put forward, and defend their respective cases.
- The Committee will complete the enquiry as soon as is reasonably possible, and communicate its findings and its recommendations for the disciplinary action, if any, to the Competent Authority.
- In case the complaint registered by the Complainant is found to be frivolous or false, the Complainant will be liable for punishment.

#### Redressal

The complaints may be addressed as follows:-

- The committee can ask the Institute to suspend the alleged harasser from an administrative post/classes if his/her presence is likely to interface with the enquiry.
- The victim of sexual harassment as per the Supreme Court judgment will have the option to seek transfer of the perpetrator or their own transfer where applicable.
- The Head of the Institution upon receipt of the enquiry report shall refer the same to the Governing Body / Executive Council and Institute disciplinary action on the basis of the recommendations of the Complaint Committee under relevant service rules.
- The disciplinary action will be commensurate with the nature of the violation.
- In the case of Institute employee, disciplinary action could be in the form of:-
- Warning
- Written apology
- Bond of good behavior
- Adverse remarks in the confidential report
- Debarring from supervisory duties
- Denial of membership of statutory bodies
- Denial of re-employment
- Stopping of increments/promotion

- Reverting, demotion
- Suspension
- Dismissal
- Any other relevant mechanism
- In case of students, disciplinary action could in the form of:-
- Warning
- Written apology
- Bond of good behavior
- Debarring entry into a hostel/campus
- Suspension for a specific period of time
- Withholding results
- Debarring from exams
- Debarring from award of any institutional scholarship
- Debarring from holding posts such as member of Committee etc.
- Expulsion
- Denial of admission
- Declaring harasser as "persona non grata" for stipulated period of time.
- Any other relevant mechanism

## **Protection against Victimization**

ESHAN has an obligation to ensure that a person who registers a complaint in good faith and without malice is protected, and will not allow a person raising a concern to be victimized for doing so. In the unfortunate event where, despite the best of precautions, the Complainant would be victimized, ESHAN shall treat this as a serious matter and take disciplinary action against the perpetrator

## **Anti-Ragging Committee**

Anti-ragging committee will be the supervisory and advisory committee on matters of planning action for building and preserving a culture of ragging free environment in the institute campus. Anti-ragging committee does checks regularly places like hostels, buses, canteens, classrooms and other places of student congregation, for any incidences of ragging, and shall educate the students at large in the college about menace of ragging and related punishment provisions. Anti-ragging committee will be involved in designing strategies and action plan for curbing the menace of ragging in the institute by adopting array of activities.

### **Caste Based Discrimination Prevention Committee**

Aims and Objectives

- To oversee the effective implementation of policies and programmes for the SC/ST/OBC
- To provide guidance, counselling and resolve issues pertaining to the Caste Based Discrimination in the institute
- To enhance the diversity within the campus.

## **Functions**

• To ensure equity and equal opportunity to the community.

- To enhance the diversity among the students, teaching and non-teaching staff and at the same time eliminate the perception of Caste Based Discrimination.
- To help individuals or a group of students belonging to the SC/ST/OBC regarding issues related to Caste Based Discrimination.
- To sensitize the college on the problems of Caste Based Discrimination.

## 15. Green Campus Policy

Eco-friendly practices and educational resources combine in a Green Campus to promote sustainable practices. It allows institutions to re-define their environmental culture and develop new paradigms for solving the social, economic, and environmental problems of mankind by utilizing a Green Campus concept.

## **Objectives of the Policy**

- To safeguard the environment within and around the campus.
- To keep the campus clean and environment friendly.
- To motivate all stake holders to ensure judicious use of scarce natural resources.
- To increase awareness among staff and students regarding different issue and possible solutions related to environment and motivate them to adopt good practices for protection of environment.
- To frame the green policies that will enhance the ecological efficiency in the campus.
- To continually improve the efficient use of all natural resources including water and energy.
- To make sustainable efforts to make the campus plastic free and tobacco free.
- To improve resource use through reduction in material use by reducing waste and to identify recycling opportunities for west generated such as metal scrap, paper, ewaste etc.
- To conduct in house environmental and energy audits from time to time.
- To make the campus self reliant in energy using solar energy and to make the campus net zero.
- To recycle west water and utilize it for landscape irrigation.

### **Scope of the Policy**

Green Campus develops new extracurricular and co-curricular practices that allow students to take leadership roles in creating positive change. As a result of these initiatives, all infrastructural and administrative activities will be reviewed from the viewpoints of energy, efficiency, sustainability, and environment.

The focus areas of the policy are

- Green Campus Initiatives
- Clean Campus Initiatives
- Tobacco free Campus
- Net Zero Campus
- Water Conservation Initiatives
- Waste Management Initiatives

## **Policy for Plastic Ban in Campus**

The pollution of the environment by plastics has now been identified as a global problem. A quick- term advantage and ease of use have made plastic and plastic goods wildly popular. Plastic has grown more and more popular over the past century, outpacing trash management as a result. Our environment, as well as our health and well-being, suffer from plastic pollution. We have all contributed, consciously or unwittingly, to this issue, and we must work together to minimize and eradicate plastic pollution.

The government has chosen to implement a plastic ban on a nationwide scale in order to address the environmental dangers created by the widespread usage of plastic. Educational institutions must take the lead in this national effort. Educational institutions must take a leadership role in the fight to phase out single-use plastics.

### Guidelines

The guideline aims to assist Indian higher education institutions in achieving a plastic-free campus. It is not intended to be comprehensive, but rather to offer basic guidelines and suggestions relevant to all institutions. The recommendations urge institutions to implement policies and practices that promote a more environmentally friendly and plastic-free campus environment.

- The institute will educate stakeholders about the need of reducing, reusing, and recycling plastic.
- All stakeholders are encouraged to reduce their reliance on plastic bags on campus.
- Stakeholders must adhere to rigorous waste segregation guidelines.
- As far as feasible, students should recycle the resources available for creative work at college festivals.
- Conducting events and poster contests, among other things, to promote the creation of ecological and environmentally friendly products in order to reduce the use of single-use.

## 16. Policy for Maintenance & Utilization of Resources

### Infrastructure

- The Institute has a full time House Manager with a team of supporting staff for efficient and effective supervision and maintenance of infrastructure facilities of the institute. This team looks after the regular maintenance of civil works such as electricity, plumbing, painting, carpentry and housekeeping. Also, besides the indoor facilities supervision, this team oversees the maintenance of the outdoor areas such as, parking areas, playgrounds, lawns, gardens etc.
- Maintenance of the entire campus is carried out without disturbing any academic activity.
- Annual maintenance contract (AMC) is signed for water purifier, RO, fire extinguishers, elevator, Air conditioners, and roof top solar power plant. Apart from contract workers, the institute has appointed trained electrician, plumbers, gardeners and also supporting staff for maintaining sewerage treatment plant.
- The green area of the campus is well maintained by a team of horticulturists.

- In order to improve and maintain the physical ambience of the campus, periodic painting and white washing of buildings, labs and hostels are carried out.
- There are fire extinguishers in every nook and corner of the building with trained staff to put out fire, if it breaks out abruptly. Mock drills are also conducted regularly.
- The pest control is done every year by the maintenance department.
- The entire campus including class rooms, laboratories, canteen, mess etc. is under CCTV surveillance.
- Administrative offices, staff rooms, class rooms, tutorial rooms, seminar halls, laboratories are kept cleaned and maintained by supporting staff.
- Wash rooms and rest rooms are well maintained by housekeeping staff. Dustbins are placed in each office and in corridor of each floor.
- The benches and windows are cleaned and mopping of the class rooms is done every day.
- A proper record is maintained centrally having details of all the day to day maintenance work.

#### Laboratories

- Students are assigned lab hours as per teaching scheme and as per time table they perform their experiments in prescribed lab in the supervision of faculty member and technical staff.
- In lab, technical staff maintains a proper record of experiment/ equipment allotment to the students. Students are required to handover all the accessories issued to them for performing experiment to the technician after lab hours.
- All the laboratories are under CCTV surveillance for security and safety.
- The laboratory equipment are under the supervision of staff and faculty members, lab technicians and they are being given the responsibility for the maintenance of equipment.
- Initially the technical staff associated with laboratory tries to repair the equipment as and when required and the same is communicated to the respective HOD. The institute prefers to contact equipment manufacturers for any job that seems intricate and where technical expertise is deemed to be a prudent solution.
- Lab Technician/assistant keeps proper record of day to day maintenance work
- Lab-in-charge maintain record the details of consumable and non-consumable equipment in registers duly verified by competent authority and HOD.
- Different committees of faculty members are constituted for physical verification of all the laboratories and physical facilities at the end of each academic session. The report of the same is then forwarded to Director for further action as per need. The non-repairable items are write-off through proper procedure.
- Lab in-charge make a list of repairable/non repairable equipment on the basis of which new requirements are forwarded to purchase departments at the end of the each academic session.
- Maintenance of computers is taken care of by the computer department.

### **Sports**

- A full time sports officer is appointed to handle the matters and concerns related to sports.
- Sports officer prepares a list of required sports equipment which is then forwarded to registrar office for approval.
- Sports committee has responsibility of organizing- planning, executing and conduct of sports events without disturbing academic calendar.
- It is the responsibility of sports officer and team to maintain sports ground and material.
- Students are permitted to utilize and take sports material with prior notice to the sports officer and in this reference a proper register is maintained.

## Library

• All the students, faculty members, and employees of the institute are normally entitled for membership of the library. Their entitlements in terms of number of books that they can borrow and the permissible loan period is given below:

Description	No. of Books	Period of Loan
Teaching Faculty	15	For whole semester
Non-Teaching/Technical staff	5	For whole semester
B.Tech. Students	3	21 Days
M.Tech. Students	4	21 Days
Research Scholar	4	45 Days

- Books or any teaching material are issued to the students against the submission of library card. Library card are valid for one academic year for students. In case of loss of library card, new card is issued only after proper checking.
- If the books are lost, then the borrower replaces the book after getting permission from chief librarian.
- The Reference Section in the Library functions on all working days. Reference books are not allowed to be lent out.
- Publisher Catalogues are frequently sent to the committee members for selection and recommendation of new books.
- The library maintains a register where user can register required books which are currently issued to other users. Such users are informed promptly on phone as soon as the current borrower returns the books.
- If any book is not available in the institute"s library, it can be provided to the reader by procuring it from other library through DELNET.
- Stock verification of library books, journals, magazines etc. is carried out once in a year.

## 17. Alumni Policy

Institute has a registered Alumni society with the following objectives:

- To promote and encourage a continuing interest in and loyalty to the institute.
- To keep a roster of all alumni of the institute and their relevant data.

- To effectively employ the talents, energies and contributions of alumni to constructive ends for the institute and this society
- To maintain updated and correct information of all alumni.
- To create a bridge between its members, fresh graduates, students and industries etc.
- To support management, staff, faculty and student body in their efforts to improve the quality of institute like in placement, entrepreneurship development, research, working culture etc,
- To arrange and conduct meetings of the alumni
- To provide a forum for the alumni for exchange of ideas on academic, cultural and social issues of the day by organizing and coordinating networking events
- To conduct any and all appropriate activities to accomplish the above objectives and purposes.

## 18. Feedback Policy

The feedback policy followed at ESHAN is good seeking, adaptive and self-corrective. Regular feedback is obtained from all stake holders-students, parents, faculty, employers and alumni in order to safe guard the interests of the educational processes and maintenance of standards. The feedback system infuses confidence among all the stake holders.

ESHAN has adopted five tier feedback system to facilitate quality sustenance and improvement measures. These are-

- Student feedback
- Parents feedback
- Faculty feedback
- Employer feedback
- Alumni feedback
- Institute has prepared separate forms of questionnaire for obtaining feedback from different stakeholders.
- Student feedback is obtained through by the departments at the end of each semester in which more than 90% students participate.
- The feedback received is analyzed and reviewed department wise in their departmental meetings.
- All shortcomings and suggestions for improvement regarding teaching-learning process and other activities are discussed and appropriate actions are initiated.
- The feedback of students about the performance of the faculty is discussed by the Head of the department with individual faculty. The strong points and the weakness are told to the faculty and suggestions are given for their improvement.
- Parents feedback is collected and analyzed by respective department once in a year.
- Employer feedback is obtained via placement cell and their suggestions are incorporated.
- The institute has registered alumni society and also separate web portal. Alumni feedback is collected online and/or at the time of alumni meet.

- ESHAN also conducts workshops and faculty development programmes with a blend of internal and external expertise.
- Apart from regular feedback though questionnaire, suggestion boxes are kept in all the academic buildings in which students can drop their problems and suggestions for improvement.

## 19. Examination Policy

The institute is affiliated to Dr. A.P.J. Abdul Kalam Technical University, Uttar Pradesh and hence follows all the guidelines issued by Parent University strictly.

### **Internal Assessment**

- The examination schedule is notified in advance in the academic calendar and is followed throughout the academic year.
- Internal assessment of theory courses consists of two midterm examinations, one
  extra midterm examination, assignments/ presentation/ class test/quizzes etc. Internal
  assessment of lab courses consists of two internal practical examination, practical
  record, attendance, viva- voce, presentation, day to day performance on the basis of
  each experiment is assessed.
- Midterm examination process is centrally governed by examination cell. Time Table and the important information like invigilation duty chart, room setting plan etc. is notified well before the commencement of examination.
- Faculty is required to submit required number of papers in a sealed envelope to examination cell in stipulated time period.
- Question papers are prepared strictly as per the University end term paper pattern.
- The mapping of question paper with course outcomes and Bloom"s Taxonomy is provided along the question paper in the prescribed format. Also the distribution of Bloom"s level/Course Outcomes in percentage is provided with the question paper.
- Examination Cell appoints internal flying squad of senior faculty members during examination to avoid any type of misconduct.
- The examination evaluation is performed on the basis of course outcomes which ensure the result of the achievement.
- The evaluated answer sheets of all Internal Examination are shown to the students in the class for self-evaluation, discussion and verification of marks.
- Solutions of the question papers are uploaded on institute web portal for at least 15 days after the completion of examination along with detailed marking scheme.
- Students are free to interact with subject teacher/head of the department to resolve any grievance.
- The result of examination is declared within a week from the last date of examination.
- The assessment of the project work is based on a variety of features including the adequacy of the literature survey and data search, standard of presentation and grammar, Interpretation of data and results, Practical ability etc.
- Extra midterm examination is conducted at the end of the semester for those students who were absent during examination due to unavoidable medical conditions.

- Students who were debarred due to shortage of attendance are also permitted to appear in exam if they maintain required attendance at the end of the semester.
- In order to improve attendance, a student who has overall class attendance more than 80%, is also permitted to appear in extra midterm examination. In such case, marks of the best two performances are considered.
- For detailed attendance norms for appearing in examinations, please refer "Student Attendance Policy"

## **Appointment of External Examiner for Lab Courses:**

• The institute appoints external examiner from other institutes for the fair and unbiased conduction of end term practical examination

### **Invigilation Duty:**

• Every faculty member except HOD, Dy. HOD and Contractual faculty will be allotted examination work as specified below-

		Minimum Number of Duties				
Designation	Nature of Duty	Mid-Term Theory Exam	University Theory Exam	Mid-Term Practical Exam	Total	
Professor	Flying Squad	06	06	06	18	
Associate Professor II	Flying Squad, Supervision, Room Invigilation	06	06	06	18	
Associate Professor I	Flying Squad, Room, Invigilation, Reserve	09	09	06	24	
Assistant Professor I & II	Room Invigilation, Reserve	13	13	-	26	

• Depending upon the circumstances the allotment of number of duties may increase.

Revised Professional Development Allowance (PDA) Policy- 2021

**Eligibility** 

Faculty members who have worked at ESHAN for two years continuously are eligible for the Professional Development Allowance (PDA) of ₹7000/- in a financial year (i.e. 1st April to 31st March) with a maximum of ₹5000/- per six months. The revised PDA policy will be effective from 1st April, 2021.

The fund is intended to be used by all of the eligible faculty members for academic growth and global exposure.

Provision

Each financial year, funds allocated for professional development should be utilized to the following activities:

- 1. To attend Seminars, Workshops, Conferences, Short Term Courses (STC), Faculty Development Program (FDP), Meetings of Professional Bodies, etc., (Event) in India or abroad.
  - a) International event within State up to ₹ 1500 (Maximum 50% of Registration)
  - b) National event within State up to ₹ 1000 (Maximum 50% of Registration)
  - c) International event within India up to ₹ 3000 (Maximum 50% of Registration)

- d) National event within India up to ₹ 2000 (Maximum 50% of Registration)
- e) International event outside India up to ₹ 5000 (Maximum 50% of Registration)
- f) Event within ESHAN\* up to ₹ 1500
- \* HODs may use PDA allocated to the department for conducting such academic events in the absence of or not sufficient any external funding subject to the above mentioned condition. For this each faculty members have to be registered in the event and attend all the sessions actively. Process of reimbursement will remain the same.
- 2. For membership fee of professional organizations relevant to one so domain (up to ₹2000 only or 50% of actual fee paid whichever is less).(There is no restriction on number of membership of Professional organizations availed per year under the limit of ₹2000/-)
- 3. For payment towards online courses like MOOC, etc., to enhance professional competency, on production of certificate of completion (Maximum ₹2000 and up to ₹1000/- per course). As our institute is a part of SWAYAM NPTEL local chapter so all the faculty members should answer "YES" to the questions related to information sharing with the institute.
- 4. Professional Courses/Certification courses like machine learning, cyber security, leadership development program, quality management or other emerging field offered by renowned professional bodies/institution of repute (Maximum ₹ 2000 per annum with prior approval)
- 5. For payment of filing charges of Patents (Maximum ₹ 4000 per annum wherein the institute must be mentioned as applicant. The claim can be made only after successful publication of patent.

#### **Conditions**

In all the activities/events, faculty members are required to mention his/her affiliation as Eshan College of Engineering, Mathura. The name faculty member must be the same as mentioned in appointment letter.

- 1. Guidelines for participating in the conferences
  - During a financial year, faculty members may attend one National and one
    International conference related to their research or academic field, organized by any
    recognized university or other premier institution.
  - Faculty member must present paper/poster while attending a conference and produce evidence of the same for claiming the reimbursement.
  - The faculty members should attend reputable/indexed conferences organized by premier institutions or societies to contribute to cascading knowledge within the academic community.
- 2. Guidelines for participation in the Workshops/Faculty Development Program (FDP) / Short Term Course (STC)

During a financial year, faculty members may attend one workshop, one faculty development program (FDP), and one Short Term Course (STC) related to their research or academic field, organized by any recognized university or other premier institution.

## 3. Procedure of approval of PDA application

- In order to participate in conferences, workshops and other events inside and outside India, prior approval from the authority responsible is required.
- A completed application must be submitted to HOD one month before an event taking place in India and two months before for events occurring in abroad.
- After receiving approval from the HOD, all such applications should be sent to the Director.
- After obtaining approval from Director the application will be submitted to Registrar/HR office (for records).
- Un-availed amount of PDA in a financial year will not be carried forward to the next financial year.

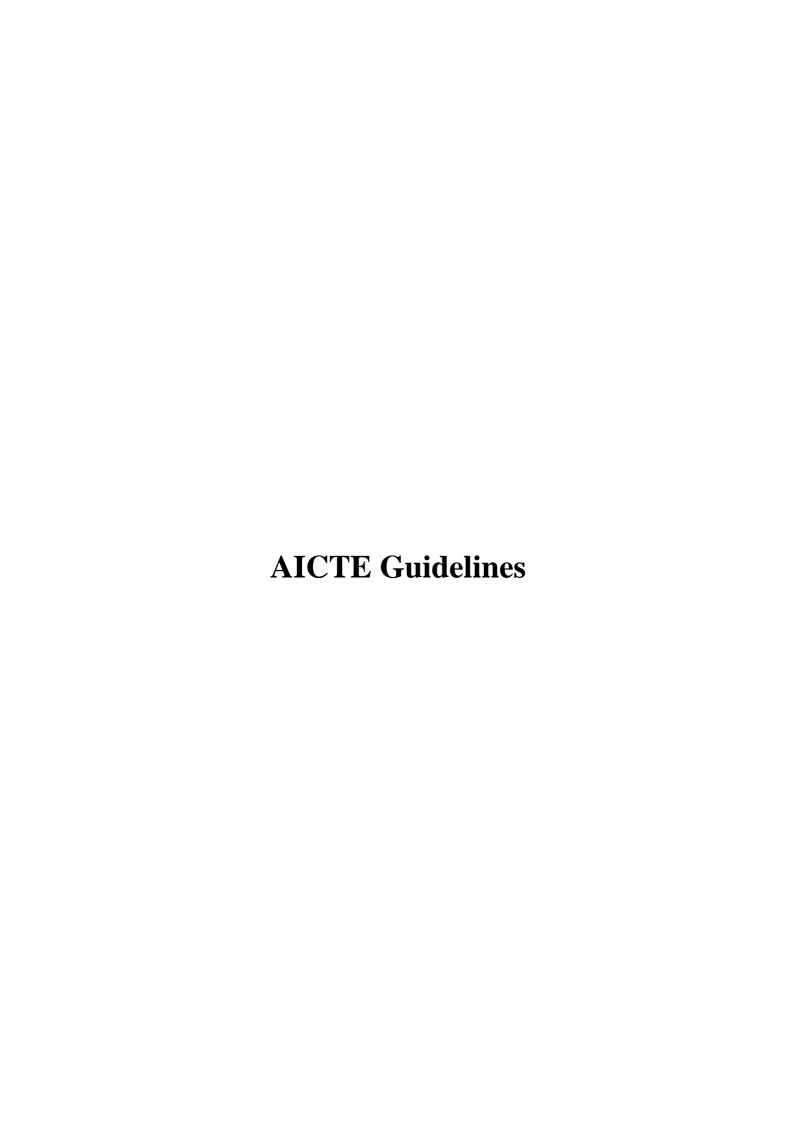
## 4. Post event report submission

- Faculty has to submit the complete form through proper channel.
- Reimbursement of expenses will be made only on claims which are supported by original vouchers, receipts, and on production of certificate of attendance and post attendance report.
- The faculty must provide a report on their presentation/publication in Conference / Workshop

### / FDP / STC.

- A faculty member must present their findings to their peer group after the event.
- Quartely report (in the prescribed format) has to be submitted to Director (Academics)/ Director by the HOD otherwise new applications will not be considered from respective department.
- The applicant needs to complete the formalities within one week after the completion of event.

(Note: In case the applicant does not attend the event after getting approval due to some unavoidable circumstances, the information should be provided to Director (Academics)/ Director by e-mail with copy to HOD)



# ALL INDIA COUNCIL FOR TECHNICAL EDUCATION NOTIFICATION

New Delhi, the 25th May, 2012

## All India Council for Technical Education (Establishment of Mechanism for Grievance Redressal) Regulations, 2012

F. No. 37-3/Legal/2012.—In order to ensure transparency by Technical institutions imparting technical education, in admissions and with Paramount Objective of preventing unfair practices and to provide a mechanism to innocent students for redressal of their grievances, In exercise of the power conferred under Clause 1 of Section 23 of the All India Council for Technical Education, Act, 1987 (52 of 1987), the All India Council for Technical Education makes the following Regulations, namely:

## 1. SHORT TITLE, APPLICATION AND COMMENCEMENT:

- (1) These regulations may be called the All India Council for Technical Education (Establishment of Mechanism for Grievance Redressal) Regulations, 2012.
- (2) They shall apply to every Technical Institution recognized or approved by the All India Council for Technical Education under the All India Council for Technical Education Act, 1987.
- (3) They shall come into force from the date of its publication in the Official Gazette.

## 2. **DEFINITION:**

- (1) In these regulations unless the context otherwise requires
  - a) "Act" means the All India Council for Technical Education Act, 1987;
    b) "Aggrieved student" means a student when
  - b) 'Aggrieved student' means a student who has any complaint in the matters concerned with the grievances as defined under these regulations;
  - other name, which provides for a course of study for obtaining any qualification from a university and which, in accordance with the rules and regulations of such university, is recognized as competent to provide for such course of study and present students undergoing such course of study for the examination for the award of such qualification;
  - d) "Council' means the All India Council for Technical Education;
  - e) "Declared **Admission Policy**" means such policy for admission to a course or **programme of study** as may be approved or recognized by

the Council and offered by the institution and published in such manner as the council may specify;

- f) 'Grievances' may include the following complaints of the aggrieved students namely
  - (i) making admission contrary to merit determined in accordance with the declared admission policy of the institute;
  - (ii) irregularity in the admission process adopted by the institute;
  - (iii) refusing admission in accordance with the declared admission policy of the institute;
  - (iv) withhold or refuse to return any document in the form of certificates of degree, diploma or any other award or other document deposited with it by a person for the purpose of seeking admission in such institution, with a view to induce or compel such person to pay any fee or fees in respect of any course or programme of study which such person does not intend to pursue;
  - demand of money in excess of that specified in the declared admission policy or approved by the competent authority to be charged by such institution;
  - (vi) breach of the policy for reservation in admission as may be applicable;
  - (vii) complaints of alleged discrimination by students from Scheduled Caste, Scheduled Tribes, OBC, women, minority or disabled categories;
  - (viii) non payment or delay in payment of scholarships to any students that such institution is committed, under the conditions imposed by AICTE, or by any other authority;
  - (ix) delay in conduct of examinations or declaration of results beyond that specified in the academic calendar;
  - on provision of student amenities as may have been promised or required to be provided by the institution;
  - (xi) denial of quality education as promised at the time of admission or required to be provided;
  - (xii) non transparent or unfair evaluation practices;
  - (xiii) harassment and victimization of students including sexual harassment; and
  - (xiv) refund of fees on withdrawal of admissions as per AICTE instructions from time to time.
- g) 'Grievance Redressal Committee' means a Committee constituted under these Regulations;
- h) "institution" for the purposes of these Regulations, means, college or institution as the case may be;
- i) "Ombudsman" means such Ombudsman appointed under sub-clause (1) of clause (3) of these Regulations;

2057 GI/12-3

- j) "Technical education" means programs of education as defined under section 2[g] of the All India Council for Technical Education, Act, 1987;
- K) "Technical Institution" means an Institution as defined under section 2[h] of the All India Council for Technical Education, Act, 1987;
- "University" means a university established or incorporated by or under a Central Act or State Act and includes an institution deemed to be university declared as such under section 3 of the UGC Act 1956.

# 3. APPOINTMENT, TENURE, REMOVAL AND CONDITIONS OF SERVICES UNDER REDRESSAL MECHANISM

- i) Each Technical University shall appoint an Ombudsman for redressal of grievances of students under these regulations.
  - The Ombudsman shall be a person who has been a judge not below the rank of a District Judge or a Retired Professor who has at least 10 years experience.
  - The Ombudsman shall not, at the time of appointment, during one year before appointment, or in the course of his tenure as ombudsman, be in a conflict of interest with the university where his personal relationship, professional affiliation or financial interest may compromise or reasonably appear to compromise, the independence of judgement toward the university.
  - iv) The Ombudsman, or any member of his immediate family shall not :
    - a) hold or have held at any point in the past, any post, employment in office of profit in the University,
    - b) have any significant relationship including personal, family, professional or financial, with the university,
    - c) hold any university position, called by whatever name, under the administration or governance structure of the university.
  - by the University on a part time basis from a panel of three names suggested by the search committee consisting of the following members:
    - a) Nominee of the Governor of concerned State Chairman
    - b) Two Vice Chancellors by rotation from Public Universities of the State concerned
    - One Vice Chancellor by rotation from Private Universities of the State concerned
    - d) Secretary (Higher Technical Education) of the State concerned- Convenor
  - vi) The Ombudsman in a Central Technical University shall be appointed by the Central Technical University concerned on a part time basis

from a panel of three names suggested by the search committee consisting of the following members:

a) Chairman AICTE - Chairman

- b) One Vice Chancellor from Central Technical Universities by rotation
- c) Joint Secretary Higher Technical Education, MHRD, Government of India

d) Member Secretary AICTE - Convenor

vii) The Ombudsman shall be a part time officer appointed for a period of three years or till 70 years of age whichever is earlier from the date he resumes the office and may be reappointed for another one term in the same university.

viii) Ombudsman shall be paid a consolidated fees of Rs. 3000 to 5000 per hearing, in addition to the conveyance

The Ombudsman may be removed on charges of proven misconduct or misbehavior by the concerned appointing authority:

- rovided that no order of removal shall be passed except after an inquiry made in this regard by a person not below the rank of High Court Judge in which such Ombudsman has been informed of the charges against him and given a reasonable opportunity of being heard in respect of those charges.
- In case of Technical Institution, the Vice Chancellor of the affiliating university shall constitute a grievance redressal committee consisting of five members for an individual Technical Institution or a group of Technical Institutions, keeping in view the location of the Technical Institution(s) concerned.

## 4. POWERS AND FUNCTIONS OF OMBUDSMAN:

- (1) The Ombudsman shall exercise its powers to hear any grievance -
  - of any student against the university or institution affiliated to it or an institute, as the case may be, after the student has availed of remedies available in such institution for redressal of grievance; and
  - (ii) of any applicant for admission as student to such institution.
- (2) No application for revaluation or remarking of answer sheets shall be entertained by the Ombudsman unless specific irregularity materially affecting the outcome or specific instance of discrimination is indicated.
- (3) The Ombudsman shall have power to seek the assistance of any person belonging to the Scheduled Caste, Scheduled Tribe, Socially and economically backward classes (SEBC) minority or disabled category, as amicus curiae, for hearing complaints of alleged discrimination.

2057 02/12-4

## 5. PROCEDURE IN REDRESSAL OF GRIEVANCES:

- (1) Each Technical institution shall establish a registry, headed by an employee of the institute of appropriate rank as the Ombudsman may decide, where an aggrieved student or person may make an applicant seeking redressal of grievance.
- (2) The address of the registry so established shall be published widely including on the notice board and prospectus and placed on the website of the institution.
- (3) On receipt of an application by the registry, the employee-in-charge shall inform the Ombudsman and shall immediately provide a copy to the institution for furnishing its reply within seven days.
- (4) The Ombudsman shall fix a date for hearing the complaint which shall be communicated to the institute and the aggrieved person either in writing or electronically, as may be feasible.
- (5) An aggrieved person may appear either in person or represented by such person as may be authorized to present his case.
- (6) The Ombudsman shall be guided by principles of natural justice while hearing the grievance.
- (7) The Ombudsman shall ensure disposal of every application within one month of receipt for speedy redress of grievance.
- (8) The Technical institution shall be expected to co-operate with the Ombudsman in redress of grievances and failure to do so may be reported by the Ombudsman to AICTE.
- (9) On the conclusion of proceedings, the Ombudsman shall pass such order, with reasons for such order, as may be deemed fit to redress the grievance and provide such relief as may be desirable to the affected party at issue.
- (10) Every order under clause (9), under the signature of the Ombudsman, shall be provided to the aggrieved person and the institution and shall be placed on the website of the Technical institution.
- (11) The Technical institution shall comply with the order of the Ombudsman.
- (12) Any order of the Ombudsman not complied with by the institution shall be reported to the AICTE for appropriate action as deemed fit by the Council.
- (13) A complaint shall be filed by the aggrieved student, his / her parent or with a special permission from the ombudsman, by any other person.
- (14) In case of any false/frivolous complaint, the ombudsman may order appropriate action against the complainant.
- (15) The principles and procedures outlined above shall apply to the working of the Grievance Redressal Committee in the Technical Institute except
  - (a) in case of lack of unanimity, the Grievance Committee shall take decisions by majority;
  - (b) the Grievance Committee shall communicate its decisions within ten days of receipt of complaint.

6. The University and the Technical Institution concerned shall provide detailed information regarding provisions of grievance redressal mechanism, embudsman and the duties and rights of students in their prospectus prominently.

## 7. CONSEQUENCES OF NON-COMPLIANCE:

The Council shall in respect of any Technical Institution that willfully contravenes or repeatedly fails to comply with orders of the Ombudsman, may proceed to take one or more of the following actions, namely;

- (a) Withdraw the approval granted to the Technical Institution or any other action or penalty as provided under the All India Council for Technical Education (Grant of Approvals for Technical Institutions) Regulation, 2010 as modified or amended by the Council from time to time;
- (b) withdrawal of declaration of fitness or entitlement to receive grants or financial assistance from the Council;
- (c) withholding any grant allocated to the Technical institution;
- (d) declaring the Technical institution ineligible for consideration for any assistance under any of the general or special assistance programmes of the Council;
- (e) informing the general public, including potential candidates for admission, through a notice displayed prominently in the newspapers or other suitable media and posted on the website/web portal of the Council, declaring that the Technical Institution does not possess the minimum standards for redressal of grievances;
- (f) recommend to the affiliating university for withdrawal of affiliation;
- recommend to the appropriate State Government for withdrawal of status as university in case of a Technical university established or incorporated under a State Act;
- (h) taking such other action within its powers as the Council may deem fit and impose such other penalties as may be provided in the Act for such duration of time as the Technical institution complies with the provisions of these Regulations :
  - Provided that no action shall be taken by AICTE under this clause unless the technical institution has been asked to explain its position and opportunity of being heard has been provided to it.
- 8. These regulations shall stand impliedly repealed on coming into force of the Prohibition of Unfair Practices in Technical, Medical Educational Institutions and Universities Act.

Dr. K. P. ISAAC, Member Secy.

[ADVT. III/4/131/12/Exty.]

## ALL INDIA COUNCIL FOR TECHNICAL EDUCATION New Delhi

### **NOTIFICATION**

### Dated 01-07-2009

Sub:Prevention and prohibition of Ragging in technical Institutions, Universities including Deemed to be Universities imparting technical education.

**F.No.37-3/Legal/AICTE/2009** – In exercise of the powers conferred under Section 23 read with Section 10 (b), (g), (p) and (q) of AICTE Act, 1987, the All India Council for Technical Education, hereby makes the following Regulations:-

### 1. Short title and commencement:-

- (i) These Regulations may be called the All India Council for Technical Education (Prevention and Prohibition of Ragging in Technical Institutions, Universities including Deemed to be Universities imparting technical education) Regulations 2009.
- (ii) They shall come into force on the date of the notification.

## 2. Objectives:-

In view of the directions of the Hon'ble Supreme Court in SLP No. 24295 of 2006 dated 16-05-2007 and in Civil Appeal number 887 of 2009, dated 08-05-2009 to prohibit, prevent and eliminate the scourge of ragging including any conduct by any student or students whether by words spoken or written or by an act which has the effect of teasing, treating or handling with rudeness a fresher or any other student, or indulging in rowdy or undisciplined activities by any student or students which causes or is likely to cause annoyance, hardship or psychological harm or to raise fear or apprehension thereof in any fresher or any other student or asking any student to do any act which such student will not in the ordinary course do and which has the effect of causing or generating a sense of shame, or torment or embarrassment so as to adversely affect the physique or psyche of such fresher or any other student, with or without an intent to derive a sadistic pleasure or showing off power, authority or superiority by a student over any fresher or any other student, in all higher education institutions in the country, and thereby, to provide for the healthy development, physically and psychologically, of all students, the All India Council for Technical Education, (AICTE) brings forth these Regulations.

## 3. Definitions:-

- (a) "Act" means the All India Council for Technical Education Act 1987 (52 of 1987);
- (b) "Technical Institution" means an institution of Government, Government Aided and Private (self financing) conducting the courses/programmes in the field of technical education, training and research in Engineering, Technology including MCA, Architecture, Town Planning, Management, Pharmacy, Hotel Management & Catering Technology, Applied Arts & Crafts and such other programmes and areas as notified by the Council from time to time;
- (c) **'University**" means a University defined under clause (f) of section 2 of the University Grants Commission Act, 1956 and includes an institution deemed to be a University under section 3 of that Act.
- (d) "Academic year" means the period from the commencement of admission of students in any course of study in the institution up to the completion of academic requirements for that particular year.
- (e) "Head of the institution" means the Vice-Chancellor in case of a university or a deemed to be university, the Principal or the Director or such other designation as the executive head of the institution or the college is referred.
- (f) **"Fresher**" means a student who has been admitted to an institution and who is undergoing his/her first year of study in such institution.
- (g) Words and expressions used and not defined herein but defined in the Act or in the General Clauses Act, 1887, shall have the meanings respectively assigned to them in the Act or in the General Clauses Act, 1887, as the case may be. And
- (h) All other words and expressions used herein and not defined but defined in the All India Council for Technical Education Act, 1987 (52 of 1987), shall have the meanings respectively assigned to them in the said Act;
- What constitutes Ragging: Ragging constitutes one or more of any of the following acts:

- a. any conduct by any student or students whether by words spoken or written or by an act which has the effect of teasing, treating or handling with rudeness a fresher or any other student;
- b. indulging in rowdy or undisciplined activities by any student or students which causes or is likely to cause annoyance, hardship, physical or psychological harm or to raise fear or apprehension thereof in any fresher or any other student;
- c. asking any student to do any act which such student will not in the ordinary course do and which has the effect of causing or generating a sense of shame, or torment or embarrassment so as to adversely affect the physique or psyche of such fresher or any other student;
- d. any act by a senior student that prevents, disrupts or disturbs the regular academic activity of any other student or a fresher;
- e. exploiting the services of a fresher or any other student for completing the academic tasks assigned to an individual or a group of students.
- f. any act of financial extortion or forceful expenditure burden put on a fresher or any other student by students;
- g. any act of physical abuse including all variants of it: sexual abuse, homosexual assaults, stripping, forcing obscene and lewd acts, gestures, causing bodily harm or any other danger to health or person;
- h. any act or abuse by spoken words, emails, posts, public insults which would also include deriving perverted pleasure, vicarious or sadistic thrill from actively or passively participating in the discomfiture to fresher or any other student;
- i. any act that affects the mental health and self-confidence of a fresher or any other student with or without an intent to derive a sadistic pleasure or showing off power, authority or superiority by a student over any fresher or any other student.

## 5. Measures for prevention of ragging:-

It shall be mandatory for every technical Institution, University, deemed to be University imparting technical education to take following measures for prevention of ragging at such institutions.

- 1. The advertisement issued for admission by a technical institution, University including Deemed to be University concerned and/or the admission authority/ affiliating University/State Govt./UT/Central Govt. as the case may be, shall clearly mention that ragging is totally banned and anyone found guilty of ragging and/or abetting ragging is liable to be punished.
- 2. The 'Prospectus' and other admission related documents shall contain directions of the Supreme Court Affiliating University/admission Authority/State Govt/Central Govt. shall make it mandatory for the institutions under their jurisdiction to compulsorily incorporate such information in their 'Prospectus'. These Regulations shall be printed in the brochure of admission/instruction booklet for candidates.
- 3. The application form for admission/enrolment shall have a printed affidavit, preferably both in English and Hindi and/or in one of the regional languages. The affidavit should be filled up and signed by the candidate to the effect that he/she is aware of the law regarding prohibition of ragging as well as the punishments, and that he/she, if found guilty of the offence of ragging and/or abetting ragging, is liable to be punished appropriately.
- 4. The application form shall also contain a printed affidavit, preferably both in English and Hindi and/or in one of the regional languages and the affidavit should be signed by the parent/guardian of the applicant to the effect that he/she is also aware of the law in this regard and agrees to abide by the punishment meted out to his/her ward in case the latter is found guilty of ragging and/or abetting ragging.
- 5. The application for admission shall be accompanied by a document along with the School Leaving Certificate/Character Certificate which shall include a report on the behavioral patter of the applicant, so that the institution can thereafter keep intense watch upon the student who has a negative entry in this regard.
- 6. A student seeking admission to the hostel shall have to submit another affidavit along with his/her application for hostel accommodation that he/she is also aware of the law in this regard and agrees to abide by the punishments meted out if he/she is found guilty of ragging and/or abetting ragging.
- 7. Every technical institution, University including Deemed to be University imparting technical education shall maintain a proper record of the affidavits obtained to ensure its safe up keep thereof,including digital copies of the affidavits and such digital copy should be made available to the AICTE or to an Agency identified/nominated by AICTE.

- 8. The AICTE or an Agency identified/nominated for the purpose and affiliating Universities and Directorate of Technical Education of the concerned State Govt./UT shall maintain an appropriate data base of the affidavits in the digital form obtained from every student at the time of admission to the technical institutions, Universities including Deemed to be Universities imparting technical education.
- 9. Each batch of freshers should be divided into small groups and each such group shall be assigned to a member of staff. Such staff member should interact individually with each member of the group on daily basis to ascertain the problems/difficulties, if any faced by the freshers in the Institution and extend necessary help.
- 10. Incase of freshers admitted to a Hostel it shall be the responsibility of the teacher incharge of the group to co-ordinate with the warden of the Hostel and to make surprise visits to the rooms in the hostel where the members of the group are lodged. Freshers should be lodged in a separate hostel block wherever possible and where such facilities are not available, the college/institution shall ensure that seniors' access to freshers' accommodation is strictly monitored by wardens, Security Guards and Staff.
- 11. Every institution should engage or seek the assistance of professional counselors at the time of admissions to counsel 'freshers' in order to prepare them for the life ahead, particularly for adjusting to the life in hostels. At the commencement of the academic session the Head of the technical Institution, University including Deemed to be University imparting technical education shall convene and address a meeting of various functionaries/agencies, like Wardens, representatives of students, parents/guardians, faculty, district administration including police, to discuss the measures to be taken to prevent ragging and steps to be taken to identify the offenders and punish them suitably.
- 12. Every fresher admitted to the technical Institution, University including Deemed to be University imparting technical education shall be given a printed information booklet detailing when and whom he/she has to turn to for help and guidance for various purposes (including Wardens, Head of the institution, members of the anti–ragging committee, relevant district and police authorities), addresses and telephone numbers of such persons/authorities, etc.
- 13. The technical institutions, University including Deemed to be University imparting technical education through the information booklet mentioned

above shall explain to the new entrants the arrangements for their induction and orientation which promote efficient and effective means of integrating them fully as students.

- 14. The information booklet mentioned above shall also tell the freshers about their rights as bona fide students and clearly instructing them that they should desist from doing anything against their will even if ordered by the seniors, and that they have nothing to fear as the institution cares for them and shall not tolerate any atrocities against them.
- 15. The information booklet mentioned above shall contain a calendar of events and activities laid down by the institution to facilitate and complement familiarization of juniors with the academic environment of the institution.
- 16. To make the community at large and the students in particular aware of the dehumanizing effect of ragging, and the approach of the institution towards those indulging in ragging, big posters (preferably with different colors for the provisions of law, punishments, etc.) shall be prominently displayed on all Notice Boards of all departments, hostels and other buildings as well as at vulnerable places. Some of such posters shall be of permanent nature in certain vulnerable places.
- 17. The technical Institution, University including Deemed to be University imparting technical education shall request the media to give adequate publicity to the law prohibiting ragging and the negative aspects of ragging and the institutions 's resolve to ban ragging and punish those found guilty without fear or favor.
- 18. The technical Institution, University including Deemed to be University imparting technical education shall identify, properly illuminate and man all vulnerable locations.
- 19. The technical Institution, University including Deemed to be University imparting technical education shall tighten security in its premises, especially at the vulnerable places. If necessary, intense policing shall be resorted to at such points at odd hours during the early months of the academic session.
- 20. The technical Institution, University including Deemed to be University imparting technical education shall utilize the vacation period before the start of the new academic year to launch wide publicity campaign against ragging through posters, information booklets, seminars, street plays, etc.

- 21. The faculties/departments/units of the technical Institution, University including Deemed to be University imparting technical education shall have induction arrangements (including those which anticipate, identify and plan to meet any special needs of any specific section of students) in place well in advance of the beginning of the academic year with a clear sense of the main aims and objectives of the induction process.
- 22. Mobile Phones and other communication devices may be permitted in residential areas including hostels to provide access to the students particularly freshers, to reach out for help from teachers, parents and Institution authorities.

## 6. Monitoring mechanism in technical institution, University including Deemed to be University imparting technical education:-

- a) Anti-ragging Committee: Every institution University including Deemed to be University imparting technical education shall constitute a Committee to be known as the Anti-ragging Committee to be nominated and headed by the Head of the Institution, and consisting of representatives of civil and police administration, local media, Non Government Organizations involved in youth activities, representatives of faculty members, representatives of parents, representatives of students belonging to the freshers' category as well as senior students, non-teaching staff; and shall have a diverse mix of membership in terms of level as well as gender.
- b) It shall be the duty of the Anti-Ragging Committee to ensure compliance with the provisions of these Regulations as well as the provisions of any law for the time being in force concerning ragging; and also to monitor and oversee the performance of the Anti-Ragging Squad in prevention of ragging in the institution.
- c) Anti-Ragging Squad: Every institution University including Deemed to be University imparting technical education shall also constitute a smaller body to be known as the Anti-Ragging Squad to be nominated by the Head of the Institution with such representation as may be considered necessary for maintaining vigil, oversight and patrolling functions and shall remain mobile, alert and active at all times. Provided that the Anti-Ragging Squad shall have representation of various members of the campus community and shall have no outside representation.
- d) It shall be the duty of the Anti-Ragging Squad to be called upon to make surprise raids on hostels, and other places vulnerable to incidents and having the potential for ragging and shall be empowered to inspect such places.

- e) It shall also be the duty of the Anti-Ragging Squad to conduct an on-the-spot enquiry into any incidents of ragging referred to it by the Head of the institution or any member of the faculty or any member of the staff or any student or any parent or guardian or any employee of a service provider or by any other person, as the case may be; and the enquiry report along with recommendations shall be submitted to the Anti-Ragging Committee for action. Provided that the Anti-Ragging Squad shall conduct such enquiry observing a fair and transparent procedure and the principles of natural justice and after giving adequate opportunity to the student or students accused of ragging and other witnesses to place before it the facts, documents and views concerning the incidents of ragging, and considerations such other relevant information as may be required.
- f) Mentoring Cell: Every institution shall, at the end of each academic year, in order to promote the objectives of these Regulations, constitute a Mentoring Cell consisting of students volunteering to be Mentors for freshers, in the succeeding academic year; and there shall be as many levels or tiers of Mentors as the number of batches in the institution, at the rate of one Mentor for six freshers and one Mentor of a higher level for six Mentors of the lower level.
- Monitoring Cell on Ragging:- The State Govt./UT and the affiliating University shall set up a Monitoring Cell on Ragging to coordinate with the institutions to monitor the activities of the Anti-Ragging Committees, Squads , and Mentoring Cells, regarding compliance with the instructions on conducting orientation programmes, counseling sessions, etc., and regarding the incidents of ragging, the problem faced by wardens and other officials, etc. This Cell shall also review the efforts made by such institutions to publicize anti-ragging measures, cross-verify the receipt of affidavits from candidates/students and their parents/guardians every year, and shall be the prime mover for initiating action by the University authorities to suitably amend the Statutes or Ordinances or Bye-laws to facilitate the implementation of anti ragging measures at the level of the institution.
- h) The Monitoring Cell shall coordinate with the institutions, universities including deemed to be universities imparting technical education to achieve the objectives of these Regulations; and the Monitoring Cell shall call for reports from the Heads of institutions in regard to the activities of the Anti-Ragging Committees, Anti-Ragging Squads, and the Mentoring Cells at the institutions, and it shall also keep itself abreast of the decisions of the Anti-Ragging Committees etc.

- i) The Monitoring Cell shall also review the efforts made by institutions to publicize anti-ragging measures, soliciting of affidavits from parents/guardians and from students, each academic year, to abstain from ragging activities or willingness to be penalized for violation; and shall function as the prime mover for initiating action for amending the Statues or Ordinances or Bye-laws to facilitate the implementation of anti-ragging measures at the level of the institution.
- 7. Action to be taken against the Principal or the Head of the Institution/Faculty Members/Non-Teaching staff of technical institution, Universities including Deemed to be Universities imparting technical education.
  - 1. The Head of the Institution along with other administrative authorities should take adequate measures for prevention of ragging. Any lapse on the part of these authorities shall make them liable for criminal action for negligence of duty. The technical Institution, University including Deemed to be University imparting technical education should incorporate a clause in their letter of appointment that the Director, Faculty and other administrative Heads should ensure all possible steps for prevention of ragging in the premises of the educational institutions, and that they are liable for action, in case of non-compliance.
  - 2. The Principal or Head of the Institution/Department shall obtain an affidavit from every employee of the Institution including teaching/non-teaching staff, contract labor employed in the premises either for running canteen or as watch and ward staff or for cleaning or maintenance of the building, lawns etc. that he/she would report promptly any case of ragging which comes to his/her notice. A provision shall be made in the service rules for issuing certificates of appreciation to such members of the staff who report ragging which will form part of their service records.
  - 3. Departmental enquiries shall be initiated, in addition to penal consequences against such heads of the Institution/Faculty members/non-teaching staff who display an apathetic or insensitive attitude towards complaints of ragging and would not take timely steps in the prevention of ragging and punishing those who rag.
  - 4. The Principal or the Head of the Institution/Faculty Members/Non-Teaching staff, if found negligent in taking necessary measures for ensuring safety of students and preventing the ragging would be declared unfit for holding any post in any technical institution, University including Deemed to be University imparting technical education.

- 8. Actions to be taken against students for indulging and abetting ragging in technical institutions Universities including Deemed to be University imparting technical education:-
- 1. The punishment to be meted out to the persons indulged in ragging has to be exemplary and justifiably harsh to act as a deterrent against recurrence of such incidents.
- 2. Every single incident of ragging a First Information Report (FIR) must be filed without exception by the institutional authorities with the local police authorities.
- 3. The Anti-Ragging Committee of the institution shall take an appropriate decision, with regard to punishment or otherwise, depending on the facts of each incident of ragging and nature and gravity of the incident of ragging.
- 4. a) Depending upon the nature and gravity of the offence as established the possible punishments for those found guilty of ragging at the institution level shall be any one or any combination of the following:-
  - (i) Cancellation of admission
  - (ii) Suspension from attending classes
  - (iii) Withholding/withdrawing scholarship/fellowship and other benefits
  - (iv) Debarring from appearing in any test/examination or other evaluation process
  - (v) Withholding results
  - (vi) Debarring from representing the institution in any regional, national or international meet, tournament, youth festival, etc.
  - (vii) Suspension/expulsion from the hostel
  - (viii) Rustication from the institution for period ranging from 1 to 4 semesters
  - (ix) Expulsion from the institution and consequent debarring from admission to any other institution.
  - (x) Collective punishment: when the persons committing or abetting the crime of ragging are not identified, the institution shall resort to collective punishment as a deterrent to ensure community pressure on the potential raggers.
  - b) An appeal against the order of punishment by the Anti-Ragging Committee shall lie.

- i. In case of an order of an institution, affiliated to or constituent part, of the University, to the Vice-Chancellor of the University;
- ii. In case of an order of a University, to its Chancellor.
- iii. In case of an institution of national importance created by an Act of Parliament, to the Chairman or Chancellor of the institution, as the case may be.
- 5. The institutional authorities shall intimate the incidents of ragging occurred in their premises along with actions taken to the Council form time to time.

# 9 Action to be taken against the technical Institution, University including Deemed to be University imparting technical education which fail to take measures for prevention of ragging:-

- 1. Role of the concerned technical institutions, Universities including Deemed to be Universities imparting technical education shall be open to scrutiny for the purpose of finding out whether they have taken effective steps for preventing ragging and action taken against provision indulged and/or abetting ragging. The Council shall constitute Committees to inspect technical institutions, Universities including Deemed to be Universities imparting technical education to verify the status of anti ragging measures and to investigate specific instances of ragging and take appropriate action.
- 2. The technical Institution, University including Deemed to be University imparting technical education should submit an affidavit along with its compliance report submitted to AICTE annually with details of measures taken for prevention of ragging in technical institutions. The Compliance Report should also contain the details of the instances of ragging and action taken against students, and others for indulging and abetting ragging.
- 3. All Letters of Approval issued by AICTE such as extension of approval letters, letters issued for additional courses/increase in intake and letters issued for new technical institutions, release of grants, letters of approval issued to integrated campus, second shift etc. shall contain a specific clause of prevention of ragging.
- 4. The AICTE shall, in respect of any institution that fails to take adequate steps to prevent ragging or fails to act in accordance with these Regulations or fails to punish perpetrators or incidents of ragging suitably, take one of more of the following measures, namely;

- i. No admission/Withdrawal of approval granted under section 10(k) of AICTE Act.
- ii. Withholding any grant allocated.
- iii. Declaring the institution ineligible for consideration for any assistance under any of the general or special assistance programmes of the AICTE.
- iv. Informing the general public, including potential candidates for admission, through a notice displayed prominently in the newspapers or other suitable media and posted on the website of the AICTE, declaring that the institution does not possess the minimum academic standards.
- v. Taking such other action within its powers as it may deem fit and impose such other penalties as may be provided in the Act for such duration of time as the institution complies with the provisions of these Regulations.
- 5. As regards the Universities including Deemed to be Universities imparting technical education the actions proposed to be taken such as stopping release of grants, withdrawal of approval/recognition will be sent to University Grants Commission (UGC), Ministry of Human Resource Development (MHRD), Govt. of India and the concerned State Govt./UT. The UGC, MHRD Govt. of India, and the State Govt./UT concerned shall initiate immediate action on the recommendations of the Council.

## 10. Duties and Responsibilities of the All India Council for technical Education:-

- a) All India Council for technical Education, or the Central Government or the agency authorized for the purpose shall establish, fund and operate, a toll-free Anti-Ragging Helpline, operational round the clock, which could be accessed by students in distress owing to ragging related incidents.
- b) Any distress message received at the Anti-Ragging Helpline shall be simultaneously relayed to the Head of the Institution, the Warden of the Hostels, the Nodal Officer of the affiliating University, if the incident reported has taken place in an institution affiliated to a University, the concerned District authorities and if so required, the District Magistrate, and the Superintendent of Police, and shall also be web enabled so as to be in the public domain simultaneously for the media and citizens to access it.
- c) The Head of the institution shall be obliged to act immediately in response to the information received from the Anti-Ragging Helpline as at sub-clause (b) of this clause.

- d) The telephone numbers of the Anti-Ragging Helpline and all the important functionaries in every institution, Heads of institutions, faculty members, members of the anti-ragging committees and anti-ragging squads, district and sub-divisional authorities and state authorities, Wardens of hostels, and other functionaries or authorities where relevant, shall be widely disseminated for access or to seek help in emergencies.
- f) The All India Council for technical Education, the Central Government or the agency authorized for the purpose shall maintain an appropriate data base to be created out of affidavits, affirmed by each student and his/her parents/guardians and stored electronically by the institution; and such database shall also function as a record of ragging complaints received, and the status of the action taken thereon.
- g) The All India Council for technical Education, the Central Government or the agency authorized for the purpose shall make available the database to a non-governmental agency to be nominated by the Central Government, to build confidence in the public and also to provide information of non compliance with these Regulations to the Councils and to such bodies as may be authorized by the All India Council for technical Education/Central Government.

## 11. The All India Council for technical Education shall take the following regulatory steps, namely;

- a) The All India Council for technical Education shall make it mandatory for the institutions to incorporate in their prospectus, the directions of the Central Government or the State Level Monitoring Committee with regard to prohibition and consequences of ragging, and that non-compliance with these Regulations and directions so provided, shall be considered as lowering of academic standards by the institution, therefore making it liable for appropriate action.
- b) The All India Council for technical Education shall verify that the institutions strictly comply with the requirement of getting the affidavits from the students and their parents/guardians as envisaged under these Regulations.
- c) The All India Council for technical Education shall include a specific condition in the Utilization Certificate, in respect of any financial assistance or grants-in-aid to any institution under any of the general or special schemes of the All India Council for technical Education, that the institution has complied with the anti-ragging measures.

- d) Any incident of ragging in an institution shall adversely affect its accreditation, ranking or grading by National Board of Accreditation or by any other authorised accreditation agencies while assessing the institution for accreditation, ranking or grading purposes.
- e) The All India Council for technical Education shall constitute an Inter-Council Committee, consisting of representatives of the various Councils, the Non-Governmental agency responsible for monitoring the database maintained by the All India Council for technical Education/Central Government and such other bodies in higher education, to coordinate and monitor the anti-ragging measures in institutions across the country and to make recommendations from time to time; and shall meet at least once in six months each year.
- f) The All India Council for technical Education shall institute an Anti-Ragging Cell within the AICTE as an institutional mechanism to provide secretarial support for collection of information and monitoring, and to coordinate with the State Level Monitoring Cells and University Level Committees for effective implementation of anti-ragging measures, and the Cell shall also coordinate with the Non-Governmental agency responsible for monitoring the database maintained by the All India Council for technical Education/central Government.

(Member Secretary)

## **Prevention and Prohibition of Ragging**

In view of the directions of the Honorable Supreme Court in SLP No. 24295 of 2006 dated 16-05-2007 and in Civil Appeal number 887 of 2009, dated 08-05-2009, to prohibit, prevent and eliminate the scourge of ragging, in exercise of the powers conferred under Section 23 read with Section 10 (b), (g), (p) and (g) of AICTE Act, 1987, the All India Council for Technical Education has notified Regulations for prevention and prohibition of ragging in AICTE approved Technical Institutions vide No. 37-3/ Legal/ AICTE/ 2009 dated 01.07.2009 available on AICTE Web-Portal http://www.aicte-india.org/anti.htm>download. All AICTE approved Technical Institutions have to comply with the provision made in the above Regulations. Any violation of above AICTE Regulations for the prevention and prohibition of ragging, shall call for punitive action against erring Institutions as per the provisions made in the above said Regulations.

The Institutions shall have to step up Anti-Ragging mechanism by way of adequate publicity through various mediums:

- Constitution of Anti-Ragging Committee and Anti Ragging Squad;
- Setting up of Anti-Ragging Cell;
- Installing CCTV cameras at vital points;
- Anti-Ragging Workshops;
- Updating all Web sites with Nodal Officers complete details, alarm bells etc.;
- Regular interaction and counselling with the students;
- Identification of trouble-triggers;
- Mention of Anti-Ragging warning in the Institution's prospectus and information Booklets/ Brochures shall be ensured; and
- Surprise inspection of hostels, student accommodation, canteens, rest cum recreational rooms, toilets, bus-stands and any other measures which would augur well in preventing/ quelling ragging and any uncalled for behavior / incident shall be undertaken.

Students in distress due to ragging related incidents can call the National Anti-Ragging Helpline No. 1800-180-5522 (24x7 Toll Free) or e-mail: <a href="mailto:helpline@antiragging.in">helpline@antiragging.in</a>.

The Institution approved by AICTE may be requested to hold Workshops and Seminars on eradication of ragging in higher Educational Institutions. They may be requested to display Anti Ragging posters at all prominent places such as Admission Centre, Departments, Library, Canteen, Hostel, Common facilities etc. The size of posters should be 8'x6'

The Institution may be requested to submit an online compliance of Anti-Ragging Regulations on curbing the menace of ragging in the Technical Institutions, 2009 at <a href="https://www.antiragging.in">www.antiragging.in</a>.

Institutions may be requested to make it compulsory for each student and every parent to submit an online undertaking every academic year at www.antiragging.in and www.amanmovement.org.

Further, the attention of all the Institutions may also be invited to the Third amendment to UGC Regulations dated 29th June, 2016 expanding the definition of ragging by including the following:

"(j) Any act of physical or mental abuse (including bullying and exclusion) targeted at another student (fresher or otherwise) on the ground of color, race, religion, caste, ethnicity, gender (including transgender), sexual orientation, appearance, nationality, regional origins, linguistic, identity, place of birth, place of residence or economic background"